

1. Name of Listed Entity: Ramkrishna Forgings Limited
2. Scrip Code/Name of Scrip/Class of Security: RKFORGE
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2016
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)	
			Classes eg: X	Classes eg: y	Total												
A	Promoter & Promoter Group	89	1445106	0	0	1445106	50.4	1445106	0	1445106	50.4	0	9637708	66.69	120000	8.3	14451069

B	Public	8907	14218871	0	0	14218871	49.6	14218871	0	14218871	49.6	0	49.6		0			14218735
C	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0		0					0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0		0					0
	Total	8915	28669940	0	0	28669940	100	28669940	0	28669940	100	0	100	9637708	33.62	1200000	4.19	28669804





Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	8	14451069	0	0	14451069	50.41	14451069	0	14451069	50.41	0	50.41	9637708	66.69	120000	8.30	14451069	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		16	3086569	0	0	3086569	10.77	3086569	0	3086569	10.77	0	0	0	0	0	3086569	
	BNP PARIBAS DIVIDEND YIELD FUND	AAB TA22 80R	0	528430	0	0	528430	1.84	528430	0	528430	1.84	0	0	0	0	0	528430	
	KOTAK MAHINDRA BALANCE UNIT SCHEME 99	AAA TK44 75F	0	388782	0	0	388782	1.36	388782	0	388782	1.36	0	0	0	0	0	388782	
	RELIANCE CAPITAL TRUSTEE	AAA TR00 90B	0	108000	0	0	108000	3.77	108000	0	108000	3.77	0	0	0	0	0	108000	

	CO. LTD. - A/C RELIANCE TAX SAVER (ELSS) FUND																	
	UTI-MID CAP FUND	AAA TU10 88L	0	41879 4	0	0	418794	1.46	41879 4	0	418 794	1.46	0	1.46		0		418794
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0		0		0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0		0		0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0		0		0
e	Foreign Portfolio Investors		16	24125 85	0	0	241258 5	8.42	24125 85	0	241 258 5	8.42	0	8.42		0		2412585
	ICG Q LIMITED	AAB CI467 7H	0	46000 0	0	0	460000	1.6	46000 0	0	460 000	1.6	0	1.6		0		460000
	INDIA MIDCAP (MAURITI S) LTD.	AAC CI289 8H	0	10090 58	0	0	100905 8	3.52	10090 58	0	100 905 8	3.52	0	3.52		0		1009058
f	Financial Institutions/ Banks		2	28208	0	0	28208	.1	28208	0	282 08	.1	0	.1		0		28208
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0		0		0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0		0		0

i	Any Other (specify)		1	10442015	0	0	1044215	3.64	10442015	1044215	3.64	0	3.64		0			1044215
	INTERNATIONAL FINANCE CORPORATION		1	10442015	0	0	1044215	3.64	10442015	1044215	3.64	0	3.64		0			1044215
	Sub-Total (B)(1)		35	6571577	0	0	6571577	22.93	6571577	6571577	22.93	0	22.93	0	0			6571577
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		8191	5227636	0	0	5227636	18.23	5227636	5227636	18.23	0	18.23	0	0	0	0	5227500
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		8172	2724131	0	0	2724131	9.5	2724131	2724131	9.5	0	9.5		0			2723995
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		19	2503505	0	0	2503505	8.73	2503505	2503505	8.73	0	8.73		0			2503505

	LATA BHANSHALI	AAD PB86 72N	1	10589 75	0	0	105897 5	3.69	10589 75	0	105 897 5	3.69	0	3.69	0	0	0	1058975
b	NBFCs registered with RBI		3	16290	0	0	16290	.06	16290	0	162 90	.06	0	.06	0	0	0	16290
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		678	24033 68	0	0	240336 8	8.38	24033 68	0	240 336 8	8.38	0	8.38	0	0	0	2403368
	TRUSTS		4	640	0	0	640	0	640	0	640	0	0	0	0	0	0	640
	NON RESIDENT INDIANS		137	12649 4	0	0	126494	.44	12649 4	0	126 494	.44	0	.44	0	0	0	126494
	CLEARING MEMBERS		65	51314	0	0	51314	.18	51314	0	513 14	.18	0	.18	0	0	0	51314
	BODIES CORPORAT ES		442	22099 89	0	0	220998 9	7.71	22099 89	0	220 998 9	7.71	0	7.71	0	0	0	2209989
	CHARTERED FINANCE AND LEASING LIMITED	AAB CC07 77C	2	51171 3	0	0	511713	1.78	51171 3	0	511 713	1.78	0	1.78	0	0	0	511713
	NON RESIDENT INDIAN NON REPATRIAB LE		30	14931	0	0	14931	.05	14931	0	149 31	.05	0	.05	0	0	0	14931
	Sub-Total		8872	76472	0	0	764729	26.67	76472	0	764	26.67	0	26.67	0	0	0	7647158

(B)(3)			94			4		94		729 4								
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		8907	142180 871	0	0	142188 71	49.6	142180 871	0	142 188 71	49.6	0	49.6	0	0			14218735

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

<b>Details of the shareholders acting as persons in Concert including their Shareholding</b>			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>