

RAMKRISHNA FORGINGS LIMITED

REGD. & CORPORATE OFFICE:

"RAMKRISHNA CHAMBERS"
72 SHAKESPEARE SARANI,
KOLKATA 700017
WESTBENGAL, INDIA

PHONE : (+91 33) 3984 0900 / 0999
FAX : (+91 33) 3984 0998

EMAIL : info@ramkrishnaforgings.com
WEBSITE : www.ramkrishnaforgings.com

CIN NO. : L74210WB1981PLC034281

Date: 17th October, 2017

To
The Listing Department
National Stock Exchange of India Limited,
"Exchange Plaza" C-1, Block G
Bandra - Kurla Complex, Bandra (E)
Mumbai - 400 051

Dear Sir,

Ref: Scrip Code: RKFORGE

Sub: - Shareholding Pattern for the Quarter ended 30th September, 2017

Please find enclosed herewith the Shareholding Pattern as per Regulation 31(1)(b) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended **30th September, 2017**.

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully,
For Ramkrishna Forgings Limited


Rajesh Mundhra
Company Secretary

Encl: As above.

WORKS

PLANT- I: PLOT NO. M-6, PHASE VI, GAMARIA, JAMSHEDPUR -832108,
JHARKHAND (INDIA); FAX: (+91 657) 2202814
PH: (+91 657) 3984900, 3204242, 3204249
EMAIL: forgings-division@ramkrishnaforgings.com



PLANT- III & IV: PLOT NO. M-15, 16 & NS-26, PHASE VII, INDUSTRIAL AREA,
ADITYAPUR; JAMSHEDPUR -832109, JHARKHAND (INDIA) FAX: (+91 657)3984998
PH: (+91 657) 3984900/999, EMAIL: cnc-division@ramkrishnaforgings.com



PLANT- II: 7/40, DUFFER STREET, LILUAH, HOWRAH – 711204
WEST BENGAL (INDIA)
PH: (+91 33) 2654 8062/063

PLANT- V: VILL: BALIGUMA, P.O.: KOLABERA, THANA: SARAİKELA, DIST.: SARAİKELA KHARSWAN;
JAMSHEDPUR -833220, JHARKHAND (INDIA) PH: (+91657) 3984900
EMAIL: pressplant5@ramkrishnaforgings.com

Format of Holding of Specified securities

1. Name of Listed Entity: RAMKRISHNA FORGINGS LIMITED
2. Scrip Code/Name of Scrip/Class of Security: 532527, RKFORGE, EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(b)
 - a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2017
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a. Whether the Listed Entity has issued any partly paid up shares		NO
b. Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c. Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d. Whether the Listed Entity has any shares in locked-in?	YES	
e. Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:



Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Share holders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form	
							Class X	Class Y	Total				No.	As a % of total Shares held			No.
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)	(XIII)	(XIV)		
(A)	Promoter & Promoter Group	11	14453169	0	0	14453169	44.35	0	0	14453169	44.35	0	1200000	8.30	921200	6.37	14453169
(B)	Public	7395	18138339	0	0	18138339	55.65	18138339	0	18138339	55.65	0	0	0.00	NA	NA	18138203
(C)	Non Promoter-Non Public																
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0.00	0	0	0.00	NA	NA	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	NA	NA	0
Total:		7346	32591508	0	0	32591508	100.00	32591508	0	32591508	100.00	0	1200000	3.68	921200	2.83	32591372



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares	No of Shares Held (IV+V+VI)	Shareholding as a % of total shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C) 2	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No of Shares	Shareholding as a % assuming conversion of outstanding convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2 (A+B+C2))	Number of Locked Shares or otherwise encumbered	Number of equity shares held in dematerialized form
								Class X	Class Y	Total					
(1)	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	
Indian															
(a)	Individuals/Hindu undivided Family		6	1546350	0	1546350	4.74	1546350	0	1546350	4.74	0	0	1546350	
	INARESH JALAN	AABHN4403P	1	268750	0	268750	0.82	268750	0	268750	0.82	0	0	268750	
	MAHABIR PRASAD JALAN	AACHM0965N	1	120000	0	120000	0.37	120000	0	120000	0.37	0	0	120000	
	MAHABIR PRASAD JALAN	ACFPJ2428I	1	451000	0	451000	1.38	451000	0	451000	1.38	0	0	451000	
	NARESH JALAN	ACUPJ1252F	1	285750	0	285750	0.88	285750	0	285750	0.88	0	0	285750	
	RASHMI JALAN	ACVPJ0776B	1	418750	0	418750	1.28	418750	0	418750	1.28	0	0	418750	
	CHAITANYA JALAN	AKDPI7169C	1	2100	0	2100	0.01	2100	0	2100	0.01	0	0	2100	
(b)	Central Government/State Government(s)		0	0	0	0	0.00	0	0	0	0.00	0	0	0	
(c)	Financial Institutions/Banks		0	0	0	0	0.00	0	0	0	0.00	0	0	0	
(d)	Any Other		5	12906819	0	12906819	39.60	12906819	0	12906819	39.60	0	921200	12906819	
	EASTERN CREDIT CAPITAL PRIVATE LIMITED	AAACE4526A	2	5618500	0	5618500	17.24	5618500	0	5618500	17.24	0	521200	5618500	



	RIDDDHI PORTFOLIO PRIVATE LTD	AABC0768L	2	7288319	0	0	7288319	22.36	7288319	0	0	22.36	1200000	16.46	400000	5.49	7288319
	RAMKRISHNA RAIL & INFRASTRUCTURE PVT LTD	AABCC4188M	1	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)[1]		11	14453169	0	0	14453169	44.35	14453169	0	0	44.35	1200000	8.30	921200	6.37	14453169
(2)	Foreign																
	Individuals (Non-Resident																
(a)	Individuals/Foreign Individuals		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
(e)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)[2]		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)[1]+(A)[2]		11	14453169	0	0	14453169	44.35	14453169	0	0	44.35	1200000	8.30	921200	6.37	14453169



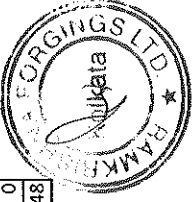


Table III - Statement showing shareholding pattern of the Public shareholder

Category	Name of the Shareholder	PAN	No of Share holders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Held (IV+V+VI)	Shareholding as a % of total shares (A+B+C 2)	Number of Voting Rights held in each class of securities			No of Shares holding as assumed full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
								No of Shares	Class X	Class Y Total				
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	
(1) Institutions														
(a) Mutual Funds			11	4486244	0	4486244	13.77	4486244	0	4486244	0	0.00	4486244	
	BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED A/CBIRLA SUN LIFE SMALL AND MIDCAP FUND	AAATB0102C	2	341231	0	341231	1.05		0	341231	0	0.00	341231	
	KOTAK EMERGING EQUITY SCHEME	5F	1	671087	0	671087	2.06		0	671087	0	0.00	671087	
	RELINANCE CAPITAL TRUSTEE CO. LTD. - A/C RELIANCE TAX SAVER (ELSS) FUND	AAATR0090B	1	1036000	0	1036000	3.18		0	1036000	0	0.00	1036000	
	FRANKLIN INDIA SMALLER COMPANIES FUND	AAAT14931H	1	1176472	0	1176472	3.61		0	1176472	0	0.00	1176472	
(b) Venture Capital Funds	BNP PARIBAS MONTHLY INCOME PLAN	OR	4	855069	0	855069	2.62		0	855069	0	0.00	855069	
(c) Alternate Investment Funds	Venture Capital Funds		0	0	0	0	0.00		0	0	0	0.00	0	
(d) Foreign Venture Capital Investors	Foreign Venture Capital Investors		0	0	0	0	0.00		0	0	0	0.00	0	
(e) Foreign Portfolio Investors	Foreign Portfolio Investors		28	5211248	0	5211248	15.99		0	5211248	0	0.00	5211248	

ICG Q LIMITED	AAAC1467	7H	1	700000	0	0	700000	2.15	700000	2.15	0	2.15	0	0.00	NA	700000
INDIA MIDCAP (MAURITIUS) LTD.	AACCI289	8H	1	958733	0	0	958733	2.94	958733	2.94	0	2.94	0	0.00	NA	958733
INDUS INDIA FUND (SV) LIMITED	AAECI020		1	443473	0	0	443473	1.36	443473	1.36	0	1.36	0	0.00	NA	443473
AMANSA HOLDINGS PRIVATE LIMITED	AAKCA723	7L	1	2073770	0	0	2073770	6.36	2073770	6.36	0	6.36	0	0.00	NA	2073770
Financial Institutions/Banks			6	23464	0	0	23464	0.07	23464	0.07	0	0.07	0	0.00	NA	23464
Insurance Companies			1	330598	0	0	330598	1.01	330598	1.01	0	1.01	0	0.00	NA	330598
TATA AIA LIFE INSURANCE CO LTD-WHOLE	AAABT378	4C	1	330598	0	0	330598	1.01	330598	1.01	0	1.01	0	0.00	NA	330598
LIFE MID CAP			0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
Provident Funds/Pension Funds																
Any Other																
FOREIGN CORPORATE BODIES			1	1044215	0	0	1044215	3.20	1044215	3.20	0	3.20	0	0.00	NA	1044215
EXEMPTC																
ATG			1	1044215	0	0	1044215	3.20	1044215	3.20	0	3.20	0	0.00	NA	1044215
INTERNATIONAL FINANCE CORPORATION			47	11095769	0	0	11095769	34.04	11095769	34.04	0	34.04	0	0.00	NA	11095769
Sub Total (B)(1)																
Central Government/State			0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
Government(s)/President of India			0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
Sub Total (B)(2)			0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
Non-Institutions																
i. Individual shareholders holding nominal share capital up to Rs.2 lakhs			6694	2050978	0	0	2050978	6.29	2050978	6.29	0	6.29	0	0.00	NA	2050842
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs			23	2474200	0	0	2474200	7.59	2474200	7.59	0	7.59	0	0.00	NA	2474200
LATA BHANSALI		2N	1	1058975	0	0	1058975	3.25	1058975	3.25	0	3.25	0	0.00	NA	1058975
NBFCs Registered with RBI			6	17151	0	0	17151	0.05	17151	0.05	0	0.05	0	0.00	NA	17151
Employee Trusts			0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
Overseas Depositories (Holding DRs) (Balancing figure)			0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	NA	0
Any Other																
TRUSTS			2	400	0	0	400	0.00	400	0.00	0	0.00	0	0.00	NA	400
ALTERNATIVE INVESTMENT FUND			1	217380	0	0	217380	0.67	217380	0.67	0	0.67	0	0.00	NA	217380
NON RESIDENT INDIANS			106	168026	0	0	168026	0.52	168026	0.52	0	0.52	0	0.00	NA	168026
CLEARING MEMBERS			85	43452	0	0	43452	0.13	43452	0.13	0	0.13	0	0.00	NA	43452
NON RESIDENT INDIAN NON REPATRIABLE BODIES CORPORATES			43	24093	0	0	24093	0.07	24093	0.07	0	0.07	0	0.00	NA	24093
CHARTERED FINANCE AND LEASING LIMITED	AAABCC077	7C	328	2046890	0	0	2046890	6.28	2046890	6.28	0	6.28	0	0.00	NA	2046890
Sub Total (B)(3)			2	511713	0	0	511713	1.57	511713	1.57	0	1.57	0	0.00	NA	511713
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)			7288	7042570	0	0	7042570	21.61	7042570	21.61	0	21.61	0	0.00		7042434
			7335	18138339	0	0	18138339	55.65	18138339	55.65	0	55.65	0	0.00		18138203

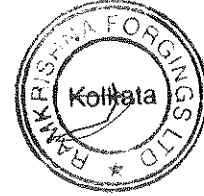


Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category & Name of the Shareholder	PAN	No of Share holder	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding and being convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								Class X	Class Y	Total				No.	As a % of total Shares held	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)			
Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	NA	0	
Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	NA	NA	0	
Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	



Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
NOT APPLICABLE			
Total:		0	0



Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account

No of Shareholders

No of shares

