

# RAMKRISHNA FORGINGS LIMITED

REGD. & CORPORATE OFFICE:

"RAMKRISHNA CHAMBERS"  
72 SHAKESPEARE SARANI,  
KOLKATA 700017  
WESTBENGAL, INDIA

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FAX : (+91 33) 3984 0998

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WEBSITE : www.ramkrishnaforgings.com

CIN NO. : L74210WB1981PLC034281

Date: 17<sup>th</sup> January, 2018

To  
**The Listing Department**  
**National Stock Exchange of India Limited,**  
**"Exchange Plaza" C-1, Block G**  
**Bandra - Kurla Complex, Bandra (E)**  
**Mumbai - 400 051**

Dear Sir,

**Ref: Scrip Code: RKFORGE**

**Sub: - Shareholding Pattern for the Quarter ended 31<sup>st</sup> December, 2017**

Please find enclosed herewith the Shareholding Pattern as per Regulation 31(1)(b) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended **31st December, 2017**.

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully,

**For Ramkrishna Forgings Limited**

  
**Rajesh Mundhra**  
**Company Secretary**

Encl: As above.

## WORKS

**PLANT- I:** PLOT NO. M-6, PHASE VI, GAMARIA, JAMSHEDPUR -832108,  
JHARKHAND (INDIA); FAX: (+91 657) 2202814  
PH: (+91 657) 3984900, 3204242, 3204249  
EMAIL: forgings-division@ramkrishnaforgings.com



**PLANT- II:** 7/40, DUFFER STREET, LILUAH, HOWRAH – 711204  
WEST BENGAL (INDIA)  
PH: (+91 33) 2654 8062/063

**PLANT- III & IV:** PLOT NO. M-15, 16 & NS-26, PHASE VII, INDUSTRIAL AREA,  
ADITYAPUR; JAMSHEDPUR -832109, JHARKHAND (INDIA) FAX: (+91 657)3984998  
PH: (+91 657) 3984900/999, EMAIL: cnc-division@ramkrishnaforgings.com

**PLANT- V:** VILL: BALIGUMA, P.O.: KOLABERA, THANA: SARAİKELA, DIST.: SARAİKELA KHARSWAN;  
JAMSHEDPUR -833220, JHARKHAND (INDIA) PH: (+91657) 3984900  
EMAIL: pressplant5@ramkrishnaforgings.com

Format of Holding of Specified securities

1. Name of Listed Entity:RAMKRISHNA FORGINGS LIMITED
2. Scrip Code/Name of Scrip/Class of Security:532527,RKFORGE,EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)© - Reg 31(1)(b)
  - a. if under 31(1)(b) then indicate the report for quarter ending 31/12/2017
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a Whether the Listed Entity has issued any partly paid up shares		NO
b Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d Whether the Listed Entity has any shares in locked-in?	YES	
e Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

\*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:



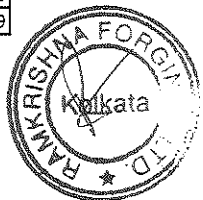
Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Share holder s	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	11	14458169	0	0	14458169	44.36	14458169	0	14458169	44.36	0	44.36	1200000	8.30	705000	4.88	14458169
(B)	Public	7836	18133339	0	0	18133339	55.64	18133339	0	18133339	55.64	0	55.64	0	0.00	NA	NA	18133204
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employes Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	<b>Total:</b>	<b>7847</b>	<b>32591508</b>	<b>0</b>	<b>0</b>	<b>32591508</b>	<b>100.00</b>	<b>32591508</b>	<b>0</b>	<b>32591508</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>1200000</b>	<b>3.68</b>	<b>705000</b>	<b>2.16</b>	<b>32591373</b>



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		6	1551350	0	0	1551350	4.76	1551350	0	1551350	4.76	0	4.76	0	0.00	0	0.00	1551350
	NARESH JALAN	AABHN4403P	1	268750	0	0	268750	0.82	268750	0	268750	0.82	0	0.82	0	0.00	0	0.00	268750
	MAHABIR PRASAD JALAN	AACHM0965N	1	120000	0	0	120000	0.37	120000	0	120000	0.37	0	0.37	0	0.00	0	0.00	120000
	MAHABIR PRASAD JALAN	ACFPJ2428J	1	451000	0	0	451000	1.38	451000	0	451000	1.38	0	1.38	0	0.00	0	0.00	451000
	NARESH JALAN	ACUPJ1252F	1	285750	0	0	285750	0.88	285750	0	285750	0.88	0	0.88	0	0.00	0	0.00	285750
	RASHMI JALAN	ACVPJ0776B	1	418750	0	0	418750	1.28	418750	0	418750	1.28	0	1.28	0	0.00	0	0.00	418750
	CHAITANYA JALAN	AKDPJ7169C	1	7100	0	0	7100	0.02	7100	0	7100	0.02	0	0.02	0	0.00	0	0.00	7100
(b)	Central Government/State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other		5	12906819	0	0	12906819	39.60	12906819	0	12906819	39.60	0	39.60	1200000	9.30	705000	5.46	12906819



	EASTERN CREDIT CAPITAL PRIVATE LIMITED	AAACE4526A	2	5618500	0	0	5618500	17.24	5618500	0	5618500	17.24	0	17.24	0	0.00	305000	5.43	5618500
	RIDDHI PORTFOLIO PRIVATE LTD	AABC80768L	2	7288319	0	0	7288319	22.36	7288319	0	7288319	22.36	0	22.36	1200000	16.46	400000	5.49	7288319
	RAMKRISHNA RAIL & INFRASTRUCTURE PVT LTD	AABCC4188M	1	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)(1)</b>		<b>11</b>	<b>14458169</b>	<b>0</b>	<b>0</b>	<b>14458169</b>	<b>44.36</b>	<b>14458169</b>	<b>0</b>	<b>14458169</b>	<b>44.36</b>	<b>0</b>	<b>44.36</b>	<b>1200000</b>	<b>8.30</b>	<b>705000</b>	<b>4.88</b>	<b>14458169</b>
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>		<b>11</b>	<b>14458169</b>	<b>0</b>	<b>0</b>	<b>14458169</b>	<b>44.36</b>	<b>14458169</b>	<b>0</b>	<b>14458169</b>	<b>44.36</b>	<b>0</b>	<b>44.36</b>	<b>1200000</b>	<b>8.30</b>	<b>705000</b>	<b>4.88</b>	<b>14458169</b>



Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Share holders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C 2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible Securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No. of Voting Rights	Total as a % of (A+B+C)				No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)	(xiii)	(xiv)			
(1)	Institutions																		
(a)	Mutual Funds		15	4316953	0	0	4316953	13.25	4316953	0	4316953	13.25	0	13.25	0	0.00	NA	NA	4316953
	KOTAK EMERGING EQUITY SCHEME	AAATK4475F	1	511676	0	0	511676	1.57	511676	0	511676	1.57	0	1.57	0	0.00	NA	NA	511676
	PRINCIPAL TRUSTEE COMPANY PVT LTD A/C PRINCIPAL MUTUAL FUND - PRINCIPAL PERSONAL TAX SAVER FUND	AAATP6607N	5	471600	0	0	471600	1.45	471600	0	471600	1.45	0	1.45	0	0.00	NA	NA	471600
	RELIANCE CAPITAL TRUSTEE CO. LTD. - A/C RELIANCE TAX SAVER (ELSS) FUND	AAATR0090B	1	900000	0	0	900000	2.76	900000	0	900000	2.76	0	2.76	0	0.00	NA	NA	900000
	FRANKLIN INDIA SMALLER COMPANIES FUND	AAATT4931H	1	1029347	0	0	1029347	3.16	1029347	0	1029347	3.16	0	3.16	0	0.00	NA	NA	1029347
	BNP PARIBAS MONTHLY INCOME PLAN	AABTA2280R	4	855069	0	0	855069	2.62	855069	0	855069	2.62	0	2.62	0	0.00	NA	NA	855069



(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors		34	5667219	0	0	5667219	17.39	5667219	0	5667219	17.39	0	17.39	0	0.00	NA	NA	5667219
	ICG Q LIMITED	AABC14677H	1	700000	0	0	700000	2.15	700000	0	700000	2.15	0	2.15	0	0.00	NA	NA	700000
	INDIA MIDCAP (MAURITIUS) LTD.	AACCI2898H	1	958733	0	0	958733	2.94	958733	0	958733	2.94	0	2.94	0	0.00	NA	NA	958733
	INDUS INDIA FUND (SV) LIMITED	AAECI0209G	1	412503	0	0	412503	1.27	412503	0	412503	1.27	0	1.27	0	0.00	NA	NA	412503
	AMANSA HOLDINGS PRIVATE LIMITED	AAKCA7237L	1	2372440	0	0	2372440	7.28	2372440	0	2372440	7.28	0	7.28	0	0.00	NA	NA	2372440
(f)	Financial Institutions/Banks		3	19131	0	0	19131	0.06	19131	0	19131	0.06	0	0.06	0	0.00	NA	NA	19131
(g)	Insurance Companies		1	330598	0	0	330598	1.01	330598	0	330598	1.01	0	1.01	0	0.00	NA	NA	330598
	TATA AIA LIFE INSURANCE CO LTD-WHOLE LIFE MID CAP	AABCT3784C	1	330598	0	0	330598	1.01	330598	0	330598	1.01	0	1.01	0	0.00	NA	NA	330598
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																		
	INSTITUTION OTHERS		1	1044215	0	0	1044215	3.20	1044215	0	1044215	3.20	0	3.20	0	0.00	NA	NA	1044215
	INTERNATIONAL FINANCE CORPORATION	EXEMPTCATG	1	1044215	0	0	1044215	3.20	1044215	0	1044215	3.20	0	3.20	0	0.00	NA	NA	1044215
	<b>Sub Total (B)(1)</b>		<b>54</b>	<b>11378116</b>	<b>0</b>	<b>0</b>	<b>11378116</b>	<b>34.91</b>	<b>11378116</b>	<b>0</b>	<b>11378116</b>	<b>34.91</b>	<b>0</b>	<b>34.91</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>11378116</b>
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	<b>Sub Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
(3)	Non-Institutions																		
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		7127	2043333	0	0	2043333	6.27	2043333	0	2043333	6.27	0	6.27	0	0.00	NA	NA	2043198
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		19	2241066	0	0	2241066	6.88	2241066	0	2241066	6.88	0	6.88	0	0.00	NA	NA	2241066
	LATA BHANSHALI	AADPB8672N	1	1058975	0	0	1058975	3.25	1058975	0	1058975	3.25	0	3.25	0	0.00	NA	NA	1058975
(b)	NBFCs Registered with RBI		6	14204	0	0	14204	0.04	14204	0	14204	0.04	0	0.04	0	0.00	NA	NA	14204
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																		
	TRUSTS		2	400	0	0	400	0.00	400	0	400	0.00	0	0.00	0	0.00	NA	NA	400
	ALTERNATIVE INVESTMENT FUND		2	342380	0	0	342380	1.05	342380	0	342380	1.05	0	1.05	0	0.00	NA	NA	342380
	NON RESIDENT INDIANS		126	159640	0	0	159640	0.49	159640	0	159640	0.49	0	0.49	0	0.00	NA	NA	159640
	CLEARING MEMBERS		98	35645	0	0	35645	0.11	35645	0	35645	0.11	0	0.11	0	0.00	NA	NA	35645
	NON RESIDENT INDIAN NON REPATRIABLE		42	19875	0	0	19875	0.06	19875	0	19875	0.06	0	0.06	0	0.00	NA	NA	19875
	BODIES CORPORATES		359	1897009	0	0	1897009	5.82	1897009	0	1897009	5.82	0	5.82	0	0.00	NA	NA	1897009
	CHARTERED FINANCE AND LEASING LIMITED	AABCC0777C	2	511713	0	0	511713	1.57	511713	0	511713	1.57	0	1.57	0	0.00	NA	NA	511713
	I E P F		1	1671	0	0	1671	0.01	1671	0	1671	0.01	0	0.01	0	0.00	NA	NA	1671
	<b>Sub Total (B)(3)</b>		<b>7782</b>	<b>6755223</b>	<b>0</b>	<b>0</b>	<b>6755223</b>	<b>20.73</b>	<b>6755223</b>	<b>0</b>	<b>6755223</b>	<b>20.73</b>	<b>0</b>	<b>20.73</b>	<b>0</b>	<b>0.00</b>			<b>6755088</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>		<b>7836</b>	<b>18133339</b>	<b>0</b>	<b>0</b>	<b>18133339</b>	<b>55.64</b>	<b>18133339</b>	<b>0</b>	<b>18133339</b>	<b>55.64</b>	<b>0</b>	<b>55.64</b>	<b>0</b>	<b>0.00</b>			<b>18133204</b>

