



RAMKRISHNA FORGINGS LIMITED

REGD. & CORPORATE OFFICE:

"RAMKRISHNA CHAMBERS"
72 SHAKESPEARE SARANI,
KOLKATA 700017
WESTBENGAL, INDIA

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FAX : (+91 33) 3984 0998

EMAIL : info@ramkrishnaforgings.com
WEBSITE : www.ramkrishnaforgings.com

CIN NO. : L74210WB1981PLC034281

Date: 13th July 2018

| | |
|--|---|
| The Listing Department Bombay Stock Exchange PJ Towers Dalal Street Mumbai - 400 001 <u>BSE SCRIP CODE: 532527</u> | The Listing Department National Stock Exchange of India Limited "Exchange Plaza" C-1, Block G Bandra- Kurla Complex, Bandra (E) Mumbai- 400051 <u>NSE SYMBOL: RKFORGE</u> |
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Dear Sir / Madam,

Ref: Regulation 31 (1)(b) the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Sub: Shareholding Pattern for the Quarter ended 30th June 2018

Please find enclosed the Shareholding Pattern of the Company in terms of Regulation 33 (1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the Quarter ended 30th June 2018.

Request you to kindly take the same on record.

This is for the information of the Exchanges and the members.

Thanking You,

Yours truly,
For Ramkrishna Forgings Limited

Rajesh Mundhra
Company Secretary

Encl. As above

WORKS

PLANT- I: PLOT NO. M-6, PHASE VI, GAMARIA, JAMSHEDPUR -832108, JHARKHAND (INDIA); FAX: (+91 657) 2202814
PH: (+91 657) 3984900, 3204242, 3204249
EMAIL: forgings-division@ramkrishnaforgings.com

ISO 9001:2008
ISO 14001:2004
OHSAS 18001:2007
BUREAU VERITAS
Certification



ISO 9001:2008
BUREAU VERITAS
Certification



PLANT- II: 7/40, DUFFER STREET, LILUAH, HOWRAH - 711204
WEST BENGAL (INDIA)
PH: (+91 33) 2654 8062/063

PLANT- III & IV: PLOT NO. M-15, 16 & NS-26, PHASE VII, INDUSTRIAL AREA, ADITYAPUR, JAMSHEDPUR -832109, JHARKHAND (INDIA) FAX: (+91 657)3984998
PH: (+91 657) 3984900/999, EMAIL: cnc-division@ramkrishnaforgings.com

PLANT- V: VILL: BALIGUMA, P.O.: KOLABERA, THANA: SARAİKELA, DIST.: SARAİKELA KHARSWAN, JAMSHEDPUR -833220, JHARKHAND (INDIA) PH: (+91657) 3984900
EMAIL: pressplant5@ramkrishnaforgings.com

Format of Holding of Specified securities

1. Name of Listed Entity: **RAMKRISHNA FORGINGS LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **532527, RKFORGE, EQUITY SHARES**
3. Share Holding Pattern Filed under: **Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)**
 - a. if under 31(1)(b) then indicate the report for quarter ending **30/06/2018**
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

| Particulars | YES* | NO* |
|---|------|-----|
| a. Whether the Listed Entity has issued any partly paid up shares | | NO |
| b. Whether the Listed Entity has issued any Convertible Securities or Warrants? | | NO |
| c. Whether the Listed Entity has any shares against which depository receipts are issued? | | NO |
| d. Whether the Listed Entity has any shares in locked-in? | YES | |
| e. Whether any shares held by promoters are pledge or otherwise encumbered? | YES | |

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:



Table 1 - Summary Statement holding of specified securities

| Category | Category of Shareholder | No of Share holders | No of fully paid up shares held | No of Partly paid-up equity shares held | No of Shares Underlying Depository Receipts | Total No of Shares Held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no of shares (As a % of (A+B+C2)) | Number of Voting Rights held in each class of securities | | No of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in Shares | | Number of Shares pledged or otherwise encumbered | Number of equity shares held in dematerialized form | |
|----------|---------------------------------|---------------------|---------------------------------|---|---|---|--|--|-----------------|---|---|----------------------------|-----------------------------|--|---|-----------------|
| | | | | | | | | Class X | Class Y Total | | | No. | As a % of total Shares held | | | No. |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) | (XIII) | (XIV) | | | |
| (A) | Promoter & Promoter Group | 9 | 14474844 | 0 | 0 | 14474844 | 44.41 | 0 | 14474844 | 0 | 44.41 | 1200000 | 8.29 | 760200 | 5.25 | 14474844 |
| (B) | Public | 9169 | 18116664 | 0 | 0 | 18116664 | 55.59 | 0 | 18116664 | 0 | 55.59 | 0 | 0.00 | 0 | 0.00 | 18116528 |
| (C) | Non Promoter-Non Public | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (C1) | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (C2) | Shares held by Employees Trusts | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Total: | 9178 | 32591508 | 0 | 0 | 32591508 | 100.00 | 0 | 32591508 | 0 | 100.00 | 1200000 | 3.68 | 760200 | 5.25 | 32591372 |



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Category | Category & Name of the Shareholder | PAN | No of Shareholders | No of fully paid up equity shares held | No of Partly paid-up equity shares held | No of Shares Underlying Depository Receipts | Total No of Shares Held (IV+V+VI) | Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C) 2 | Number of Voting Rights held in each class of securities | | | No of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2) | Number of Locked in Shares | Number of Shares pledged or otherwise encumbered | Number of equity shares held in dematerialized form | |
|----------|--|------------|--------------------|--|---|---|-----------------------------------|--|--|----------|-----------------|---|--|----------------------------|--|---|-----------------|
| | | | | | | | | | Class X | Class Y | Total (IX) | | | | | | No. |
| (1) | Indian | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) | | | (X) | (XI) | (XII) | (XIII) | (XIV) | |
| (a) | Individuals/Hindu undivided Family | | 6 | 1552925 | 0 | 0 | 1552925 | 4.76 | 1552925 | 0 | 1552925 | 4.76 | 0 | 0.00 | 0 | 0.00 | 1552925 |
| | NARESH JALAN | AABHN4403P | 1 | 268750 | 0 | 0 | 268750 | 0.82 | 268750 | 0 | 268750 | 0.82 | 0 | 0.00 | 0 | 0.00 | 268750 |
| | MAHABIR PRASAD JALAN | AACHM0965N | 1 | 120000 | 0 | 0 | 120000 | 0.37 | 120000 | 0 | 120000 | 0.37 | 0 | 0.00 | 0 | 0.00 | 120000 |
| | MAHABIR PRASAD JALAN | ACFPJ2428J | 1 | 451000 | 0 | 0 | 451000 | 1.38 | 451000 | 0 | 451000 | 1.38 | 0 | 0.00 | 0 | 0.00 | 451000 |
| | NARESH JALAN | ACUPI1252F | 1 | 285750 | 0 | 0 | 285750 | 0.88 | 285750 | 0 | 285750 | 0.88 | 0 | 0.00 | 0 | 0.00 | 285750 |
| | RASHMI JALAN | ACVPJ0776B | 1 | 418750 | 0 | 0 | 418750 | 1.28 | 418750 | 0 | 418750 | 1.28 | 0 | 0.00 | 0 | 0.00 | 418750 |
| | CHAITANYA JALAN | AKDPJ7169C | 1 | 8675 | 0 | 0 | 8675 | 0.03 | 8675 | 0 | 8675 | 0.03 | 0 | 0.00 | 0 | 0.00 | 8675 |
| (b) | Central Government/State Government(s) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (c) | Financial Institutions/Banks | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (d) | Any Other | | 3 | 12921919 | 0 | 0 | 12921919 | 39.65 | 12921919 | 0 | 12921919 | 39.65 | 0 | 0.00 | 0 | 0.00 | 0 |
| | EASTERN CREDIT CAPITAL PRIVATE LIMITED | AAACE4526A | 1 | 5618500 | 0 | 0 | 5618500 | 17.24 | 5618500 | 0 | 5618500 | 17.24 | 0 | 0.00 | 0 | 0.00 | 5618500 |
| | RIDDHI PORTFOLIO PRIVATE LTD | AABCB0768L | 1 | 7303419 | 0 | 0 | 7303419 | 22.41 | 7303419 | 0 | 7303419 | 22.41 | 0 | 0.00 | 0 | 0.00 | 7303419 |
| | RAMKRISHNA RAIL & INFRASTRUCTURE PRIVATE LIMITED | AABCC4188M | 1 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Sub-Total (A)(1) | | 9 | 14474844 | 0 | 0 | 14474844 | 44.41 | 14474844 | 0 | 14474844 | 44.41 | 0 | 0.00 | 0 | 0.00 | 14474844 |



Table III - Statement showing shareholding pattern of the Public shareholder

| Category | Category & Name of the Shareholder | PAN | No of Share holder s | No of fully paid up equity shares held | No of Partly paid-up equity shares held | No of Shares Underlying Depository Receipts | Total No of Shares Held (IV+V+VI) | Shareholding as a % of total no of shares (A+B+C2) | Number of Voting Rights held in each class of securities | | Total as a % of (A+B+C) | No of Shares Underlying Outstanding convertible securities (Including Warrants) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in Shares | Number of Shares pledged or otherwise encumbered | Number of equity shares held in dematerialized form |
|----------|---|------------|----------------------|--|---|---|-----------------------------------|--|--|---------|-------------------------|---|---|----------------------------|--|---|
| | | | | | | | | | Class X | Class Y | | | | | | |
| (1) | (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) | (viii) | (ix) | | (x) | (xi) | (xii) | (xiii) | (xiv) | |
| (a) | Institutions | | | | | | | | | | | | | | | |
| | Mutual Funds | | | | | | | | | | | | | | | |
| | ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE SMALL CAP FUND | AAATB0102C | 8 | 4525993 | 0 | 0 | 4525993 | 13.89 | 4525993 | 0 | 13.89 | 0 | 0.00 | NA | 4525993 | |
| | | | 1 | 1368576 | 0 | 0 | 1368576 | 4.20 | 1368576 | 0 | 4.20 | 0 | 0.00 | NA | 1368576 | |
| | KOTAK EMERGING EQUITY SCHEME | AAATK4475F | 1 | 506579 | 0 | 0 | 506579 | 1.55 | 506579 | 0 | 1.55 | 0 | 0.00 | NA | 506579 | |
| | PRINCIPAL TRUSTEE COMPANY PVT LTD A/C PRINCIPAL MUTUAL FUND - PRINCIPAL PERSONAL TAX SAVER FUND | AAATP6607N | 1 | 717122 | 0 | 0 | 717122 | 2.20 | 717122 | 0 | 2.20 | 0 | 0.00 | NA | 717122 | |
| | RELIANCE CAPITAL TRUSTEE CO. LTD. - A/C RELIANCE TAX SAVER (ELSS) FUND | AAATR0090B | 1 | 329230 | 0 | 0 | 329230 | 1.01 | 329230 | 0 | 1.01 | 0 | 0.00 | NA | 329230 | |
| | FRANKLIN INDIA SMALLER COMPANIES FUND | AAATT4931H | 1 | 954347 | 0 | 0 | 954347 | 2.93 | 954347 | 0 | 2.93 | 0 | 0.00 | NA | 954347 | |
| | BNP PARIBAS MUTUAL FUND AC BNP PARIBAS MULTI CAP FUND | AABTA2280R | 1 | 424449 | 0 | 0 | 424449 | 1.30 | 424449 | 0 | 1.30 | 0 | 0.00 | NA | 424449 | |



Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

| Category | Category & Name of the Shareholder | PAN | No of Shareholders | No of fully paid up equity shares held | No of Partly paid-up equity shares held | No of Shares Underlying Depository Receipts | Total No of Shares Held (IV+V+VI) | Shareholding as a % of total shares (A+B+C2) | Number of Voting Rights held in each class of securities | | No of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding as a % assuming full conversion of convertible securities (including percentage of diluted share capital) | Number of Locked in Shares | | Number of Shares pledged or otherwise encumbered | Number of equity shares held in dematerialized form |
|----------|--|-----|--------------------|--|---|---|-----------------------------------|--|--|----------|---|--|----------------------------|-------------|--|---|
| | | | | | | | | | Class X | Class Y | | | Total | No. | | |
| | (1) | | (ii) | (iii) | (iv) | (v) | (vi) | (vii) | (viii) | (ix) | (x) | (xi) | (xii) | (xiii) | (xiv) | |
| (1) | Custodian/DR Holder | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | NA | 0 |
| (2) | Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | NA | 0 |
| | Total Non-Promoter-Non Public Shareholding (C) = (C1)+(C2) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | | 0 |



| Details of the shareholders acting as persons in Concert including their Shareholding: | | | |
|---|-------------|--------------|----------|
| Name of Shareholder | Name of PAC | No of shares | Holding% |
| N.A. | N.A. | N.A. | N.A. |
| Total: | | 0 | 0 |

| Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account | |
|--|--------------|
| No of Shareholders | No of shares |
| NIL | NIL |

