

(1)(a) STATEMENT SHOWING SHAREHOLDING PATTERN IN CLAUSE-35

NAME OF THE COMPANY: RAMKRISHNA FORGINGS LIMITED		Name of the Scrip: RKFORGE	
SCRIP CODE:	532527	EQUITY	
Class of Security:	EQUITY		
QUARTER ENDED:	30.09.2013		
	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company.
Partly paid-up shares			
Held by	0	0	0
Held by Public	0	0	0
Total:	0	0	0
	No. of outstanding securities	As a % of total no. of outstanding convertible securities.	As a % of total no. of shares of the Company assuming full conversion of the convertible securities
Outstanding convertible securities:			
Held by	0	0	0
Held by Public	0	0	0
Total:	0	0	0
	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the Company, assuming full conversion of warrants
Warrants:			
Held by	2770000	100	9.94
Held by Public	0	0	0.00
Total:	2770000	0	9.94
Total paid-up capital of the Company, assuming full conversion of warrants	27879440	0	100.00



CATEGORY CODE	CATEGORY OF SHAREHOLDER	NO OF SHAREHOLDERS	TOTAL NUMBER OF SHARES	NO OF SHARES HELD IN DEMATERIALIZED FORM	TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES		SHARES PLEDGE OR OTHERWISE ENCUMBERED	
					As a PERCENTAGE of (A+B) (VI)	As a PERCENTAGE of (A+B+C) (VII)		
(I)	(II) PROMOTER AND PROMOTER	(III)	(IV)	(V)	(VI)	(VII)	(VIII) NUMBER OF SHARES	(IX)=(VIII)/(IV)*100
(A)	INDIAN							
(1)	Individual/HUF	5	1544250	1544250	6.15	6.15	0	0.00
(b)	Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	3	9282913	9282913	36.97	36.97	1900000	20.47
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00
(e)	Others	0	0	0	0.00	0.00	0	0.00
	Sub-Total A(1) :	8	10827163	10827163	43.12	43.12	1900000	20.47
(2)	FOREIGN							
(a)	Individuals (NRIs/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(d)	Others	0	0	0	0.00	0.00	0	0.00
	Sub-Total A(2) :	0	0	0	0	0	0	0
	Total A=A(1)+A(2)	8	10827163	10827163	43.12	43.12	1900000	20.47
(B)	PUBLIC SHAREHOLDING							
(1)	INSTITUTIONS							
(a)	Mutual Funds /UTI	1	1429092	1429092	5.69	5.69		
(b)	Financial Institutions /Banks	0	0	0	0.00	0.00		
(c)	Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	1	252828	252828	1.01	1.01		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(i)	Others	0	0	0	0.00	0.00		
	FOREIGN BODIES(Includes Wayzatta II Indian Ocean Ltd)	1	5937500	5937500	23.65	23.65		



CATEGORY CODE	CATEGORY OF SHAREHOLDER	NO OF SHAREHOLDERS	TOTAL NUMBER OF SHARES	NO OF SHARES HELD IN DEMATERIALIZED FORM	TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES		SHARES PLEDGE OR OTHERWISE ENCUMBERED
					AS a PERCENTAGE of (A+B) (VI)	AS a PERCENTAGE of (A+B+C) (VII)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
	INSTITUTION OTHERS (includes International Finance Corporation)						AS a PERCENTAGE (IX)=(VIII)/(IV)*100
	Sub-Total B(1) :	4	9767820	9767820	38.90	38.90	
(2)	NON-INSTITUTIONS						
(a)	Bodies Corporate	137	1517126	1517126	6.04	6.04	
(b)	Individuals						
	(i) Individuals holding nominal share capital upto Rs. 1 lakh	2911	897689	892552	3.58	3.55	
	(ii) Individuals holding nominal share capital in excess of Rs. 1 lakh	25	2096286	2096286	8.35	8.35	
(c)	Others						
	NON RESIDENT INDIANS	14	3153	3153	0.01	0.01	
	CLEARING MEMBERS	3	203	203	0.00	0.00	
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	
	Sub-Total B(2) :	3090	4514457	4509320	17.98	17.96	
	Total B=B(1)+B(2) :	3094	14282277	14277140	56.88	56.86	
	Total (A+B) :	3102	25109440	25104303	100.00	99.98	
(C)	which Depository Receipts have been issued						
(1)	Promoter and Promoter Group						
(2)	Public	0	0	0	0.00	0.00	
	GRAND TOTAL (A+B+C) :	3102	25109440	25104303	99.93	0.00	1900000
							7.57



(I)(b)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and	Details of Shares held				Encumbered shares (*)			Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
Sr.No	Name of the Shareholder	No. of Shares held (III)	As a % of grand total (A)+(B)+(C) (IV)	Pledge Shares (V)	AS a percentage (VI)	AS a % of grand total (A) + (B) + (C) of sub-clause (I)(a) (VII)	Number of warrants held (VIII)	As a % total number of convertible securities of the same class (XI)		(XII)			
	RIDDHI PORTFOLIO PRIVATE LTD	5801037	23.10	1900000	32.75	7.56	0	0.00				20.81	
	2 EASTERN CREDIT CAPITAL	3258000	12.98	0	0.00	0.00	2770000	0.00				11.69	
	3 MAHABIR PRASAD JALAN	451000	1.80	0	0.00	0.00	0	0.00				1.62	
	4 RASHMI JALAN	418750	1.67	0	0.00	0.00	0	0.00				1.50	
	5 NARESH JALAN	285750	1.14	0	0.00	0.00	0	0.00				1.02	
	6 NARESH JALAN	268750	1.07	0	0.00	0.00	0	0.00				0.96	
	RIDDHI PORTFOLIO PRIVATE LIMITED	223876	0.89	0	0.00	0.00	0	0.00				0.80	
	8 MAHABIR PRASAD JALAN	120000	0.48	0	0.00	0.00	0	0.00				0.43	
	TOTAL :	10827163	43.12	1900000	17.55	7.56	2770000	0.00				38.84	



(I)(c)(i)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public"									
Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grant total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants	Details of convertible securities	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)				
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% W.F.T total number of convertible securities of the same class			
1	WAYZZATA II INDIAN OCEAN INTERNATIONAL FINANCE CORPORATION	5937500	23.65	0	0.00	0	0.00			21.30
2	RELINANCE CAPITAL TRUSTEE CO. LTD. - A/C RELIANCE TAX SAVER (ELSS) FUND	2148400	8.56	0	0.00	0	0.00			7.71
3	DILIP KUMAR PATODIA	1429092	5.69	0	0.00	0	0.00			5.13
4	LATA BHANSHALI	797804	3.18	0	0.00	0	0.00			2.86
5	AKG FINVEST LTD	755552	3.01	0	0.00	0	0.00			2.71
6	CITIGROUP GLOBAL MARKETS MAURITIUS PRIVATE LIMITED	383000	1.53	0	0.00	0	0.00			1.37
7		252828	1.01	0	0.00	0	0.00			0.91
	TOTAL :	11704176	46.61	0	0.00	0	0.00			41.98



(i)(c)(ii)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company	Number of shares held	Shares as a percentage of total number of shares {i.e., Grant total (A)+(B)+(C) indicated in Statement at para (i)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)
Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares held	Statement at para (i)(a) above}	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	WAYZATA II INDIAN OCEAN LIMITED	5937500	23.65	0	0.00	0	0.00	21.30
2	INTERNATIONAL FINANCE CORPORATION	2148400	8.56	0	0.00	0	0.00	7.71
3	RELIANCE CAPITAL TRUSTEE CO. LTD. - A/C RELIANCE TAX SAVER (ELSS) FUND	1429092	5.69	0	0.00	0	0.00	5.13
	TOTAL :	9514992	37.89	0	0.00	0	0.00	34.13



STATEMENT SHOWING DETAILS OF LOCKED-IN SHARES"

(1)(d)		
Sr. No.	Name of the shareholder	Number of locked-in shares
1	WAYZATA II INDIAN OCEAN LIMITED	5937500
2	INTERNATIONAL FINANCE CORPORATION	2148400
3	EASTERN CREDIT CAPITAL LIMITED	950000
4	EASTERN CREDIT CAPITAL LIMITED	781000
5	EASTERN CREDIT CAPITAL LIMITED	770000
6	EASTERN CREDIT CAPITAL LIMITED	289000
7	EASTERN CREDIT CAPITAL LIMITED	350000
8	EASTERN CREDIT CAPITAL LIMITED	100000
9	EASTERN CREDIT CAPITAL LIMITED	18000
TOTAL :		11343900

Locked-in shares as a (%) percentage of total number of shares {i.e. Grand Total (A)+(B)+(C) Indicated in Statement at para (1)(a) above}

Promoter/Promoter Group/Public

23.65
8.56
3.78
3.11
3.07
1.15
1.39
0.40
0.07

PUBLIC
PROMOTER/PROMOTER GROUP
PROMOTER/PROMOTER GROUP
PROMOTER/PROMOTER GROUP
PROMOTER/PROMOTER GROUP
PROMOTER/PROMOTER GROUP
PROMOTER/PROMOTER GROUP
PROMOTER/PROMOTER GROUP
PROMOTER/PROMOTER GROUP

45.18



STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRS)

(II)(a)	STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRS)			
		Number of outstanding DRS	Number of shares underlying outstanding DRS	Shares underlying outstanding DRS as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at Para(I)(a) above}
Sr.No.	Type of outstanding DR (ADRs,GDRs,SDRs,etc	DRs		
TOTAL :		0	0	0



(II)(b)	Statement showing Holding of Depository Receipts (DRs), where underlying shares held by "Promoter/Promoter group" are in excess of 1% of the total number shares.			
Sr.No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SD Rs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para(I)(a) above)
	TOTAL :		0	0



RAMKRISHNA FORGINGS LIMITED

LIST OF 1% AND ABOVE SHAREHOLDERS AS ON 30.09.2013

Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1	IN302105	10083045	RIDDHI PORTFOLIO PRIVATE LTD	5801037	23.10	PBC
2		RFL100019	WAYZATA II INDIAN OCEAN LIMITED	5937500	23.65	FBC
3	IN302978	10249486	EASTERN CREDIT CAPITAL LIMITED	3258000	12.98	PBC
4	IN300054	10060779	INTERNATIONAL FINANCE CORPORATION	2148400	8.56	FB
5	IN300167	10015686	RELIANCE CAPITAL TRUSTEE CO. LTD. - A/C RELIANCE T	1429092	5.69	MUT
			AX SAVER (ELSS) FUND			
6	IN302978	10343451	DILIP KUMAR PATODIA	797804	3.18	PUB
			DEEP NARAIN GUPTA			
7	IN300476	40314336	LATA BHANSHALI	755552	3.01	PUB
8	IN301250	28036947	MAHABIR PRASAD JALAN	451000	1.80	PRO
9	IN301250	28390681	RASHMI JALAN	418750	1.67	PRO
10	IN300853	10063167	AKG FINVEST LTD	383000	1.53	LTD
12	IN301250	28036906	NARESH JALAN	285750	1.14	PRO
13	IN300327	10288582	NARESH JALAN	268750	1.07	PRO
14	IN300054	10009054	CITIGROUP GLOBAL MARKETS MAURITIUS PRIVATE LIMITED	252828	1.01	FII
		Total:		22187463	88.36	



RAMKRISHNA FORGINGS LIMITED

LIST OF INSTITUTION OTHERS (FOREIGN) AS ON 30.09.2013

Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1	IN300054	10060779	INTERNATIONAL FINANCE CORPORATION	2148400	8.56	INO
		Total:		2148400	8.55614	



RAMKRISHNA FORGINGS LIMITED

LIST OF FOREIGN BODIES CORPORATES AS ON 30.09.2013

Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1		RFL100019	WAYZATA II INDIAN OCEAN LIMITED	5937500	23.65	FBC
		Total:		5937500	23.65	

N.S.

RAMKRISHNA FORGINGS LIMITED

LIST OF FOREIGN INSTITUTIONAL INVESTOR AS ON 30.09.2013

Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1	IN300054	10009054	CITIGROUP GLOBAL MARKETS MAURITIUS PRIVATE LIMITED	252828	1.01	FI
		Total:		252828	1.01	



RAMKRISHNA FORGINGS LIMITED

LIST OF PROMOTERS BODIES CORPORATE AS ON 30.09.2013

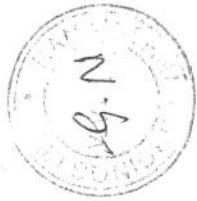
Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1	IN302105	10083045	RIDDHI PORTFOLIO PRIVATE LTD	5801037	23.10	PBC
2	IN302978	10249486	EASTERN CREDIT CAPITAL LIMITED	3258000	12.98	PBC
3	IN302978	10280392	RIDDHI PORTFOLIO PRIVATE LIMITED	223876	0.89	PBC
		Total:		9282913	36.94	



RAMKRISHNA FORGINGS LIMITED

LIST OF COMPANY PROMOTERS AS ON 30.09.2013

Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1	IN301250	28036947	MAHABIR PRASAD JALAN	451000	1.80	PRO
2	IN301250	28390681	RASHMI JALAN	418750	1.67	PRO
3	IN301250	28036906	NARESH JALAN	285750	1.14	PRO
4	IN300327	10288582	NARESH JALAN	268750	1.07	PRO
5	IN300327	10288548	MAHABIR PRASAD JALAN	120000	0.48	PRO
		Total:		1544250	6.15	



RAMKRISHNA FORGINGS LIMITED				
CONTROL REPORT AS ON 30.09.2013				
Sno	Description	No of Holders	Shares	% To Equity
1	PHYSICAL	19	5137	0.02
2	NSDL	2187	24597563	97.96
3	CDSL	896	506740	2.02
	Total:	3102	25109440	100.00

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RAMKRISHNA FORGINGS LIMITED				
SHARE HOLDING PATTERN AS ON 30.09.2013				
Sno	Description	Cases	Shares	% Equitiy
1	CLEARING MEMBERS	3	203	0.00
2	FOREIGN BODIES CORPORATES	1	5937500	23.65
3	INSTITUION OTHERS (FOREIGN)	1	2148400	8.56
4	FOREIGN INSTITUTIONAL INVESTOR	1	252828	1.01
5	H U F	127	114110	0.45
6	BODIES CORPORATES	137	1517126	6.04
7	MUTUAL FUNDS	1	1429092	5.69
8	NON RESIDENT INDIANS	14	3153	0.01
9	PROMOTERS BODIES CORPORATE	5	9282913	36.97
10	COMPANY PROMOTERS	3	1544250	6.15
11	RESIDENT INDIVIDUALS	2809	2879865	11.47
	Total:	3102	25109440	100.00



RAMKRISHNA FORGINGS LIMITED						
LIST OF CLEARING MEMBERS AS ON 30.09.2013						
Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1	IN302164	10014076	HEMENDRAKUMAR K SHAH	100	0.00	CM
2	IN301348	20008319	NIRPAN SECURITIES PVT. LTD.	99	0.00	CM
3	IN300126	11178157	HDFC SECURITIES LTD.	4	0.00	CM
		Total:		203	0.00	

