

(I)(a) Statement showing Shareholding Pattern

Name of the Company : Ramkrishna Forgings Limited

Script Code, Name of the scrip, class of security : RKFORGE

As on Date : 30-Sep-2014

	No. of partly paid-up shares	As a % of total no. of partly paid-up Shares	As a % of total no. of shares of the company
Partly paid-up shares			
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of Warrants
Held by promoter/promoter group	1200000	100	4.19
Held by public	0	0	0
Total	1200000	100	4.19
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	28669940		

(I)(a) Statement showing Shareholding Pattern

Sr. no.	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	As a percentage (IX) = (VIII) / (IV) * 100
					As a percentage of (A+B) ¹ (VI)	As a percentage of (A+B+C) (VII)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Promoter and Promoter Group ²							
(1)	Indian							
(a)	Individuals/Hindu Undivided Family	5	1544250	1544250	5.62	5.62	0	0.00
(b)	Central Government/State Government(s)	0	0	0	0.00	0.00	0	0
(c)	Bodies Corporate	4	11644413	10273913	42.39	42.39	0	0.00
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00	0	0
(e)	Any Other (Total)	0	0	0	0.00	0.00	0	0
	Sub-Total (A)(1)	9	13188663	11818163	48.01	48.01	0	0.00
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(e)	Any Other (Total)	0	0	0	0.00	0.00	0	0.00
	Sub-Total (A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of	9	13188663	11818163	48.01	48.01	0	0.00

	Promoter and Promoter Group									
	(A) = (A)(1)+(A)(2)									
(B)	Public shareholding³									
(1)	Institutions									
(a)	Mutual Funds/UTI	10	2611445	2611445	9.51	9.51	N.A.	N.A.		
(b)	Financial Institutions/Banks	0	0	0	0.00	0.00	N.A.	N.A.		
(c)	Central Government/State Government(s)	0	0	0	0.00	0.00	N.A.	N.A.		
(d)	Venture Capital Funds	0	0	0	0.00	0.00	N.A.	N.A.		
(e)	Insurance Companies	0	0	0	0.00	0.00	N.A.	N.A.		
(f)	Foreign Institutional Investors	8	554659	554659	2.02	2.02	N.A.	N.A.		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	N.A.	N.A.		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	N.A.	N.A.		
(i)	Any Other (Total)	2	5739072	5739072	20.89	20.89	N.A.	N.A.		
(11)	FOREIGN BODIES CORPORATE (includes Wayzatta II Indian Ocean Ltd)	1	3590672	3590672	13.07	13.07	N.A.	N.A.		
(12)	INSTTUTION OTHERS (includes International Finance Corporation)	1	2148400	2148400	7.82	7.82	N.A.	N.A.		
(13)	OTHERS	0	0	0	0.00	0.00	N.A.	N.A.		
	Sub-Total (B)(1)	20	8905176	8905176	32.42	32.42	N.A.	N.A.		
(2)	Non-institutions									
(a)	Bodies Corporate	195	2636170	2636170	9.60	9.60	N.A.	N.A.		
(b)	Individuals - i. Individual Shareholders Holding Nominal Share Capital Up To >Rs. 1 Lakh.	2918	928513	923376	3.38	3.38	N.A.	N.A.		

	Individuals - ii. Individual Shareholders Holding Nominal Share Capital In Excess Of Rs. 1 Lakh	25	1716893	1716893	6.25	6.25	N.A.	N.A.
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	N.A.	N.A.
(d)	Any Other (Total)	42	94525	94525	0.34	0.34	N.A.	N.A.
(d1)	CLEARING MEMBERS	18	11963	11963	0.04	0.04	N.A.	N.A.
(d2)	NRIs	22	16892	16892	0.06	0.06	N.A.	N.A.
(d3)	TRUSTS	2	65670	65670	0.24	0.24	N.A.	N.A.
	Sub-Total (B)(2)	3180	5376101	5370964	19.57	19.57	N.A.	N.A.
	Total Public Shareholding (B) = (B)(1)+(B)(2)	3200	14281277	14276140	51.99	51.99	N.A.	N.A.
	TOTAL (A)+(B)	3209	27469940	26094303	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	N.A.	0.00	N.A.	N.A.
C1	Promoter and Promoter Group	0	0	0	N.A.	0.00	N.A.	N.A.
C2	Public	0	0	0	N.A.	0.00	N.A.	N.A.
	GRAND TOTAL (A)+(B)+(C)	3209	27469940	26094303	N.A.	100.00	0	0.00

¹For determining public shareholding for the purpose of Clause 40A.

²For definitions of "Promoter" and "Promoter Group", refer to Clause 40A.

³For definitions of "Public Shareholding", refer to Clause 40A.

(D)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	PAN of the Shareholder	Total Shares held		Shares pledged or otherwise encumbered		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital			
			Number	as a % of grand total	Number	as a percentage	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class				
(I)	(II)	(III)	(IV)	(A) + (B) + (C)	(V)	(VI)	(VII) = (VI) / (IV)*100	(A) + (B) + (C) of sub-clause (I)(a)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)
1	RIDDHI PORTFOLIO PRIVATE LTD	AABCB0768L	5801037	21.12	0	0.00	0.00	1200000	100.00	0	0.00	0	0.00	24.42
2	EASTERN CREDIT CAPITAL PVT LIMITED	AAACE4526A	4248000	15.46	0	0.00	0.00	0	0.00	0	0.00	0	0.00	14.82
3	EASTERN CREDIT CAPITAL PVT LIMITED	AAACE4526A	1370500	4.99	0	0.00	0.00	0	0.00	0	0.00	0	0.00	4.78
4	MAHABIR	ACFPJ2428J	451000	1.64	0	0.00	0.00	0	0.00	0	0.00	0	0.00	1.57

				indicated in Statement at para (I)(a) above}				same class	and convertible securities) as a % of diluted
1	WAYZATA II INDIAN OCEAN LIMITED	AABCW0633P	3590672	13.07	0	0.00	0	0.00	12.52
2	INTERNATIONAL FINANCE CORPORATION	XXXXXXXX0000X	2148400	7.82	0	0.00	0	0.00	7.49
3	RELIANCE CAPITAL TRUSTEE CO. LTD. - A/C RELIANCE TAX SAVER (ELSS) FUND	AAATR0090B	1438917	5.24	0	0.00	0	0.00	5.02
4	LATA BHANSHALI	AADPB8672N	1210875	4.41	0	0.00	0	0.00	4.22
5	SBI MAGNUM BALANCED FUND	AABTS6407Q	658239	2.40	0	0.00	0	0.00	2.3
6	KALYANI TECHNOFORGE LIMITED	AABCK0618A	346976	1.26	0	0.00	0	0.00	1.21
	TOTAL		9394079	34.20	0	0.00	0	0.00	32.76

D(C) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 5% of the total number of shares

Sr. No.	Name of the shareholder	PAN of the Shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted
					Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	WAYZATA II INDIAN OCEAN LIMITED	AABCW0633P	3590672	13.07	0	0.00	0	0.00	12.52
2	INTERNATIONAL FINANCE CORPORATION	XXXXXXXX0000X	2148400	7.82	0	0.00	0	0.00	7.49
3	RELIANCE CAPITAL TRUSTEE CO. LTD. - A/C RELIANCE TAX SAVER (ELSS) FUND	AAAATR0090B	1438917	5.24	0	0.00	0	0.00	5.02
	TOTAL		7177989	26.13	0	0.00	0	0.00	25.03

(D)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	PAN of the Shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
1	RIDDHI PORTFOLIO PRIVATE LTD	AAABC80768L	5801037	21.12
2	EASTERN CREDIT CAPITAL PVT LIMITED	AAACE4526A	781000	2.84
3	EASTERN CREDIT CAPITAL PVT LIMITED	AAACE4526A	660000	2.40
4	EASTERN CREDIT CAPITAL PVT LIMITED	AAACE4526A	330000	1.20
5	EASTERN CREDIT CAPITAL PVT LIMITED	AAACE4526A	289000	1.05
6	RIDDHI PORTFOLIO PRIVATE LTD	AAABC80768L	224876	0.82
7	EASTERN CREDIT CAPITAL PVT LIMITED	AAACE4526A	18000	0.07
8	EASTERN CREDIT CAPITAL PVT LIMITED	AAACE4526A	1370500	4.99
	TOTAL		9474413	34.49

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	TOTAL	0	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	TOTAL		0	0.00

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

Sr. no.	Category of shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)
(A)	Promoter and Promoter Group ²						
(1)	Indian						
(a)	Individuals/Hindu Undivided Family	1544250	0	0	1544250	5.62	5.62
(b)	Central Government/State Government(s)	0	0	0	0	0.00	0.00

(c)	Bodies Corporate	11644413	0	0	0	0	11644413	42.39	42.39
(d)	Financial Institutions/Banks	0	0	0	0	0	0	0.00	0.00
(e)	Any Other (Total)	0	0	0	0	0	0	0.00	0.00
	Sub-Total (A)(1)	13188663	0	0	0	13188663	48.01	48.01	48.01
(2)	Foreign								
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0	0.00	0.00
(b)	Bodies Corporate	0	0	0	0	0	0	0.00	0.00
(c)	Institutions	0	0	0	0	0	0	0.00	0.00
(d)	Qualified Foreign Investor	0	0	0	0	0	0	0.00	0.00
(e)	Any Other (Total)	0	0	0	0	0	0	0.00	0.00
	Sub-Total (A)(2)	0	0	0	0	0	0	0.00	0.00
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	13188663	0	0	0	13188663	48.01	48.01	48.01
(B)	Public shareholding								
(1)	Institutions								
(a)	Mutual Funds/UTI	2611445	0	0	0	2611445	9.51	9.51	9.51
(b)	Financial Institutions/Banks	0	0	0	0	0	0.00	0.00	0.00
(c)	Central Government/State Government(s)	0	0	0	0	0	0.00	0.00	0.00
(d)	Venture Capital Funds	0	0	0	0	0	0.00	0.00	0.00
(e)	Insurance Companies	0	0	0	0	0	0.00	0.00	0.00
(f)	Foreign Institutional Investors	554659	0	0	0	554659	2.02	2.02	2.02
(g)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0.00	0.00
(h)	Qualified Foreign Investor	0	0	0	0	0	0.00	0.00	0.00
(h)	Any Other (Total)	5739072	0	0	0	5739072	20.89	20.89	20.89
(h1)	FOREIGN BODIES CORPORATE (includes Wayzatta II Indian Ocean Ltd)	3590672	0	0	0	3590672	13.07	13.07	13.07
(h2)	INSTITUTION OTHERS (includes	2148400	0	0	0	2148400	7.82	7.82	7.82

	International Finance Corporation)																			
(h3)	OTHERS	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0.00	0.00			
	Sub-Total (B)(1)	8905176	0	0	0	0	0	0	0	0	0	0	0	0	32.42	32.42	32.42			
(2)	Non-institutions																			
(a)	Bodies Corporate	2636170	0	0	0	0	0	0	0	0	0	0	0	0	9.60	9.60	9.60			
(b)	Individuals - i. Individual Shareholders Holding Nominal Share Capital Up To >Rs. 1 Lakh.	928513	0	0	0	0	0	0	0	0	0	0	0	0	3.38	3.38	3.38			
	Individuals - ii. Individual Shareholders Holding Nominal Share Capital In Excess Of Rs. 1 Lakh	1716893	0	0	0	0	0	0	0	0	0	0	0	0	6.25	6.25	6.25			
(c)	Qualified Foreign Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0.00	0.00			
(d)	Any Other (Total)	94525	0	0	0	0	0	0	0	0	0	0	0	0	0.34	0.34	0.34			
(d1)	CLEARING MEMBERS	11963	0	0	0	0	0	0	0	0	0	0	0	0	0.04	0.04	0.04			
(d2)	NRIs	16892	0	0	0	0	0	0	0	0	0	0	0	0	0.06	0.06	0.06			
(d3)	TRUSTS	65670	0	0	0	0	0	0	0	0	0	0	0	0	0.24	0.24	0.24			
	Sub-Total (B)(2)	5376101	0	0	0	0	0	0	0	0	0	0	0	0	19.57	19.57	19.57			
	Total Public Shareholding (B)= (B)(1)+(B)(2)	14281277	0	0	0	0	0	0	0	0	0	0	0	0	51.99	51.99	51.99			
	TOTAL (A)+(B)	27469940	0	0	0	0	0	0	0	0	0	0	0	0	100.00	100.00	100.00			
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0.00	0.00			
C1	Promoter and Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
C2	Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
	GRAND TOTAL (A)+(B)+(C)	27469940	0	0	0	0	0	0	0	0	0	0	0	0	100.00	100.00	100.00			

RAMKRISHNA FORGINGS LIMITED				
LIST OF FOREIGN INSTITUTIONAL INVESTOR AS ON 30/09/2014				
Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares % Equity Category
1	IN300142	10694504	KOTAK MAHINDRA (UK) LTD A/C INDIA MIDCAP (MAURITIUS) LTD	179394 0.65 Fill
2	IN300167	10000211	THE INDIAMAN FUND (MAURITIUS) LIMITED.	100000 0.36 Fill
3	IN301348	20029782	ELARA CAPITAL MAURITIUS LTD	86861 0.32 Fill
4	IN300142	10616618	JAPAN TRUSTEE SERVICES BANK, LTD. A/C JAPAN TRUSTEE SERVICES BANK, LTD. STB STATE BANK OF INDIA-INDIA	78817 0.29 Fill
			A EQUITY MOTHER FUND	
5	IN300142	10721144	AMUNDI FUNDS A/C AMUNDI FUNDS EQUITY INDIA SELECT	52587 0.19 Fill
6	IN301524	30025528	AMBIT FRONTIER FUND LIMITED	32000 0.12 Fill
7	IN300142	10639018	AMUNDI SINGAPORE LIMITED A/C AMUNDI INDIA INFRASTRUCTURE FUND	15000 0.05 Fill
8	IN303173	20008720	ANTARA INDIA EVERGREEN FUND LTD	10000 0.04 Fill
			Total:	554659 2.02

RAMKRISHNA FORGINGS LIMITED				
LIST OF MUTUAL FUNDS AS ON 30/09/2014				
Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares % Equity Category
1	IN300167	10015686	RELIANCE CAPITAL TRUSTEE CO. LTD. - A/C RELIANCE T AX SAVER (ELSS) FUND	1E+06 5.24 MUT
2	IN303786	10000949	SBI MAGNUM BALANCED FUND	658239 2.40 MUT
3	IN301524	30012592	KOTAK EMERGING EQUITY SCHEME	150000 0.55 MUT
4	IN303786	10001929	SBI SMALL AND MIDCAP FUND	130000 0.47 MUT
5	IN300054	10027712	PRINCIPAL TRUSTEE CO. PVT LTD - A/C PRINCIPALMUTUAL FUND - PRINCIPAL EMERGING BLUECHIP FUND	99867 0.36 MUT
6	IN300054	10070928	PRAMERICA MIDCAP OPPORTUNITIES FUND	80922 0.29 MUT
7	IN300054	10043319	PRAMERICA LARGE CAP EQUITY FUND	25000 0.09 MUT
8	IN300054	10044963	PRAMERICA DYNAMIC MONTHLY INCOME FUND	15000 0.05 MUT
9	IN300054	10043302	PRAMERICA DYNAMIC ASSET ALLOCATION FUND	7000 0.03 MUT
10	IN303786	10001179	SBI MAGNUM MONTHLY INCOME PLAN FLOATER	6500 0.02 MUT
			Total:	3E+06 9.51