

1. Name of Listed Entity: Ramkrishna Forgings Limited
2. Scrip Code/Name of Scrip/Class of Security: RKFORGE
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shares (III)	No. of fully paid up shares held (IV)	No. of Partly held share (V)	No. of shares Deposited (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total (calculated per 1957) (VIII)	Number of Voting Rights held in each (IX)			No. of Shares Underly Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming securities (percentage diluted share capital) (XI) = $\frac{(VII) \times (X)}{(A+B+C)}$ AS a % of (A+B+C2)	Number of Locked (XII)		Number of Shares otherwise encumbered (XIII)		Number of equity in dematerialized form (XIV)
								No of Voting					No. (a)	As a % of total Shares held (a)	No. (a)	As a % of total Shares held (b)	
								Class	Class	Total							
								eg: X	eg: y	total							
A	Promoter & Promoter	8	14630039	0	0	14630039	44.8	14630039	0	14630039	44.8	0	0	2557200	17.48	14630039	

	Group																	
B	Public	9000	17929808	0	0	17929808	54.91	17929808	0	17929808	54.91	0	54.91	0	0			17929775
C	Non Promoter-Non Public	1	93053	0	0	93053	0.28	93053	0	93053	0.28	0	0.28	0	0			93053
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0	0	0			0
C2	Shares held by Employee Trusts	1	93053	0	0	93053	0.28	93053	0	93053	0.28	0	0.28	0	0			93053
	Total	9009	32652900	0	0	32652900	100	32652900	0	32652900	100	0	100	0	0	2557200	7.83	32652867

d	Any Other (specify)		2	13077114	0	0	13077114	40.05	13077114	0	13077114	40.05	0	40.05	0	0	2557200	19.55	13077114
	Bodies Corporate		2	13077114	0	0	13077114	40.05	13077114	0	13077114	40.05	0	40.05	0	0	2557200	19.55	13077114
	EASTERN CREDIT CAPITAL PRIVATE LIMITED	AAAC E4526 A	1	5618500	0	0	5618500	17.21	5618500	0	5618500	17.21	0	17.21	0	0	0	0	5618500
	RIDDHI PORTFOLIO PRIVATE LTD	AABC B0768 L	1	7458614	0	0	7458614	22.84	7458614	0	7458614	22.84	0	22.84	0	0	2557200	34.29	7458614
	RAMKRISHNA RAIL & INFRASTRUCTURE PVT LTD	AABC C4188 M	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(1)		8	14630039	0	0	14630039	44.81	14630039	0	14630039	44.81	0	44.81	0	0	2557200	17.48	14630039
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		8	14630039	0	0	14630039	44.81	14630039	0	14630039	44.81	0	44.81	0	0	2557200	17.48	14630039

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Share holding % calculated as per SCRR, 1957 As a % of	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including	Total shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares (XII)		Number of Shares pledged		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting	No.	As a % of total Shares	No.		As a % of total shares
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		6	4164685	0	0	4164685	12.75	4164685	0	4164685	12.75	0	12.75	0	0			4164685
	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE SMALL CAP FUND	AAAT B0102C	1	1750576	0	0	1750576	5.36	1750576	0	1750576	5.36	0	5.36	0	0			1750576
	KOTAK EMERGING EQUITY SCHEME	AAAT K4475F	1	401875	0	0	401875	1.23	401875	0	401875	1.23	0	1.23	0	0			401875
	PRINCIPAL TRUSTEE COMPANY PVT LTD A/C PRINCIPAL MUTUAL FUND - PRINCIPAL PERSONAL TAX SAVER FUND	AAAT P6607N	1	412758	0	0	412758	1.26	412758	0	412758	1.26	0	1.26	0	0			412758
	FRANKLIN INDIA SMALLER COMPANIES FUND	AAAT T4931H	1	1349476	0	0	1349476	4.13	1349476	0	1349476	4.13	0	4.13	0	0			1349476

b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Foreign Portfolio Investors		33	3889362	0	0	3889362	11.91	3889362	0	3889362	11.91	0	11.91	0	0			3889362
	ICG Q LIMITED	AABC I4677H	1	661230	0	0	661230	2.03	661230	0	661230	2.03	0	2.03	0	0			661230
	INDIA MIDCAP (MAURITIUS) LTD.	AACC I2898H	1	953733	0	0	953733	2.92	953733	0	953733	2.92	0	2.92	0	0			953733
	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	AADC M8931A	1	870000	0	0	870000	2.66	870000	0	870000	2.66	0	2.66	0	0			870000
	BLUE LOTUS INVESTMENT FUND	AAGC B5049P	1	383364	0	0	383364	1.17	383364	0	383364	1.17	0	1.17	0	0			383364
f	Financial Institutions/ Banks		4	100520	0	0	100520	0.31	100520	0	100520	0.31	0	0.31	0	0			100520
g	Insurance Companies		1	330598	0	0	330598	1.01	330598	0	330598	1.01	0	1.01	0	0			330598
	TATA AIA LIFE INSURANCE CO LTD-WHOLE LIFE MID CAP	AABC T3784C	1	330598	0	0	330598	1.01	330598	0	330598	1.01	0	1.01	0	0			330598
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		1	774988	0	0	774988	2.37	774988	0	774988	2.37	0	2.37	0	0			774988
	Other		1	774988	0	0	774988	2.37	774988	0	774988	2.37	0	2.37	0	0			774988
	INTERNATIONAL FINANCE CORPORATION	ZZZZZ 9999Z	1	774988	0	0	774988	2.37	774988	0	774988	2.37	0	2.37	0	0			774988
	Sub-Total (B)(1)		45	926015	0	0	926015	28.35	926015	0	926015	28.35	0	28.35	0	0			926015

				3					3		153								
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals -		8449	5018835	0	0	5018835	15.37	5018835	0	5018835	15.37	0	15.37	0	0			5018802
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		8422	2366992	0	0	2366992	7.25	2366992	0	2366992	7.25	0	7.25	0	0			2366959
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		27	2651843	0	0	2651843	8.12	2651843	0	2651843	8.12	0	8.12	0	0			2651843
	LATA BHANSHALI	AADP B8672 N	1	1058975	0	0	1058975	3.24	1058975	0	1058975	3.24	0	3.24	0	0			1058975
b	NBFCs registered with RBI		2	5600	0	0	5600	0.02	5600	0	5600	0.02	0	0.02	0	0			5600
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		504	3645220	0	0	3645220	11.16	3645220	0	3645220	11.16	0	11.16	0	0			3645220
	Bodies Corporate		252	2103754	0	0	2103754	6.44	2103754	0	2103754	6.44	0	6.44	0	0			2103754
	BLUE DAIMOND PROPERTIES PVT LTD	AACC B8052 A	1	476724	0	0	476724	1.46	476724	0	476724	1.46	0	1.46	0	0			476724
	TATHASTU ADVISORS	AAJFT 1188R	1	350000			350000	1.07	350000		350000	1.07	0	1.07		0			350000

	Clearing member		36	32854	0	0	32854	0.1	32854	0	32854	0.1	0	0.1	0	0		32854
	IEPF		1	1671	0	0	1671	0.01	1671	0	1671	0.01	0	0.01	0	0		1671
	Non-Resident Indian (NRI)		204	155727	0	0	155727	0.48	155727	0	155727	0.48	0	0.48	0	0		155727
	Other		5	1340476	0	0	1340476	4.11	1340476	0	1340476	4.11	0	4.11	0	0		1340476
	VANTAGE EQUITY FUND	AACT V5686 P	1	536000	0	0	536000	1.64	536000	0	536000	1.64	0	1.64	0	0		536000
	ABAKKUS GROWTH FUND-1	AAGT A6549 L	1	503771	0	0	503771	1.54	503771	0	503771	1.54	0	1.54	0	0		503771
	Trusts		7	103791	0	0	103791	0.32	103791	0	103791	0.32	0	0.32	0	0		103791
	Sub-Total (B)(3)		8955	8669655	0	0	8669655	26.55	8669655	0	8669655	26.55	0	26.55	0	0		8669622
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		9000	17929808	0	0	17929808	54.9	17929808	0	17929808	54.9	0	54.9	0	0		17929775

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %