

(1)(a) STATEMENT SHOWING SHAREHOLDING PATTERN IN CLAUSE-35

NAME OF THE COMPANY: RAMKRISHNA FORGINGS LIMITED		Name of the Scrip: RKFORGE	
SCRIP CODE:	532527		
Class of Security:	31/12/2013		
QUARTER ENDED:			
Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company.
Held by promoter/promoter group	0	0	0
Held by Public	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>
Outstanding convertible securities:	No. of outstanding securities	As a % of total no. of outstanding convertible securities.	As a % of total no. of shares of the Company assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by Public	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>
Warrants:	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the Company, assuming full conversion of warrants
Held by promoter/promoter group	2440000	100	8.75
Held by Public	0	0	0.00
<b>Total:</b>	<b>2440000</b>	<b>0</b>	<b>8.75</b>
<b>Total paid-up capital of the Company, assuming full conversion of warrants and convertible securities</b>	<b>27879440</b>	<b>0</b>	<b>100.00</b>



CATEGORY	CATEGORY OF SHAREHOLDER	NO OF SHAREHOLDERS (III)	TOTAL NUMBER OF SHARES (IV)	NO OF SHARES HELD IN DEMATERIALIZED FORM (V)	TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES			SHARES PLEDGE OR OTHERWISE ENCUMBERED	
					AS a PERCENTAGE of (A+B) (VI)	As a PERCENTAGE of (A+B+C) (VII)	NUMBER OF SHARES (VIII)	AS a PERCENTAGE (IX)=(VIII)/(IV)*100	
(A)	PROMOTER AND PROMOTER GROUP								
(1)	INDIAN								
(a)	Individual /HUF	5	1544250	1544250	6.07	6.07	0	0.00	0.00
(b)	Central Government/State Government(s)	0	0	0	0.00	0.00	0	0.00	0.00
(c)	Bodies Corporate	4	9613913	9283913	37.79	37.79	0	0.00	0.00
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00	0.00
(e)	Others	0	0	0	0.00	0.00	0	0.00	0.00
	<b>Sub-Total A(1) :</b>	<b>9</b>	<b>11158163</b>	<b>10828163</b>	<b>43.86</b>	<b>43.86</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
(2)	FOREIGN								
(a)	Individuals (NRIs/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	0.00
(e)	Others	0	0	0	0.00	0.00	0	0.00	0.00
	<b>Sub-Total A(2) :</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total A=A(1)+A(2)</b>	<b>9</b>	<b>11158163</b>	<b>10828163</b>	<b>43.86</b>	<b>43.86</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
(B)	PUBLIC SHAREHOLDING								
(1)	INSTITUTIONS								
(a)	Mutual Funds /UTI	1	1438917	1438917	5.66	5.66	0	0.00	0.00
(b)	Financial Institutions /Banks	0	0	0	0.00	0.00	0	0.00	0.00
(c)	Central Government / State Government(s)	0	0	0	0.00	0.00	0	0.00	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00	0.00
(f)	Foreign Institutional Investors	1	50000	50000	0.20	0.20	0	0.00	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00	0.00
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	0.00
(i)	Others	0	0	0	0.00	0.00	0	0.00	0.00



CATEGORY OF SHAREHOLDER	NO OF SHAREHOLDERS (III)	TOTAL NUMBER OF SHARES (IV)	NO OF SHARES HELD IN DEMATERIALIZED FORM (V)	TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES		SHARES PLEDGE OR OTHERWISE ENCUMBERED
				AS a PERCENTAGE of (A+B) (VI)	As a PERCENTAGE of (A+B+C) (VII)	
(I)						
FOREIGN BODIES CORPORATE (includes Wayzatta II Indian Ocean Ltd)	1	5937500	5937500	23.34	23.34	
INSTITUTION OTHERS (includes International Finance Corporation)	1	2148400	2148400	8.45	8.45	
Sub-Total B(1) :	4	9574817	9574817	37.64	37.64	
(2)						
NON-INSTITUTIONS						
(a) Bodies Corporate	126	1467311	1467311	5.77	5.77	
(b) Individuals						
(i) Individuals holding nominal share capital upto Rs. 1 lakh	2856	870327	865190	3.42	3.42	
(ii) Individuals holding nominal share capital in excess of Rs. 1 lakh	23	2009913	2009913	7.90	7.90	
(c)						
Others						
NON RESIDENT INDIANS	15	3223	3223	0.01	0.01	
CLEARING MEMBERS	7	355686	355686	1.40	1.40	
Qualified Foreign Investor	0	0	0	0.00	0.00	
Sub-Total B(2) :	3027	4706460	4701323	18.50	18.50	
Total B=B(1)+B(2) :	3031	14281277	14276140	56.14	56.14	
Total (A+B) :	3040	25439440	25104303	100.00	100.00	
(C) Shares held by custodians, against which Depository Receipts have been issued						
(1) Promoter and Promoter Group						
(2) Public	0	0	0	0.00	0.00	
GRAND TOTAL (A+B+C) :	3040	25439440	25104303	100.00	0.00	0.00



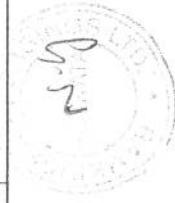
Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"														
Sr.No	Name of the Shareholder	Details of Shares held			Encumbered shares (*)			Details of Warrants			Details of convertible securities			Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of Shares held	As a % of grand total (A)+(B)+(C)	Pledge Shares	AS a percentage	AS a % of grand total (A) + (B) + (C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class		
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)			
1	RIDDHI PORTFOLIO PRIVATE LTD	5801037	22.80	0	0.00	0.00	0	0.00	0	0.00	0	0.00	20.81	
2	EASTERN CREDIT CAPITAL LIMITED	3258000	12.81	0	0.00	0.00	2440000	100.00	0	0.00	0	0.00	20.44	
3	EASTERN CREDIT CAPITAL LIMITED	330000	1.30	0	0.00	0.00	0	0.00	0	0.00	0	0.00	1.18	
4	MAHABIR PRASAD JALAN	451000	1.77	0	0.00	0.00	0	0.00	0	0.00	0	0.00	1.62	
5	RASHMI JALAN	418750	1.65	0	0.00	0.00	0	0.00	0	0.00	0	0.00	1.50	
6	NARESH JALAN	285750	1.12	0	0.00	0.00	0	0.00	0	0.00	0	0.00	1.02	
7	NARESH JALAN	268750	1.06	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.96	
8	RIDDHI PORTFOLIO PRIVATE LIMITED	224876	0.88	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.81	
9	MAHABIR PRASAD JALAN	120000	0.47	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.43	
<b>TOTAL :</b>		<b>11158163</b>	<b>43.86</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>2440000</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>48.77</b>	



Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares									
(I)(C)(i)	Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grant total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)
					Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
	1	WAYZATA II INDIAN OCEAN LIMITED	5937500	23.34	0	0.00	0	0	21.30
	2	INTERNATIONAL FINANCE CORPORATION	2148400	8.45	0	0.00	0	0.00	7.71
	3	- A/C RELIANCE TAX SAVER (ELSS) FUND	1438917	5.66	0	0.00	0	0.00	5.16
	4	DILIP KUMAR PATODIA	797804	3.14	0	0.00	0	0.00	2.86
	5	LATA BHANSHALI	755552	2.97	0	0.00	0	0.00	2.71
	6	AKG FINVEST LTD	295000	1.16	0	0.00	0	0.00	1.06
		<b>TOTAL :</b>	<b>11373173</b>	<b>44.71</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>40.79</b>



(l)(c)(ii)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company									
	Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares held	Shares as a percentage of total number of shares {i.e., Grant total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)
					Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class		
1	WAYZATA II INDIAN OCEAN LIMITED	5937500	23.34	0	0	0	0	0	0	21.30
2	INTERNATIONAL FINANCE CORPORATION	2148400	8.45	0	0.00	0	0.00	0	0.00	7.71
3	RELIANCE CAPITAL TRUSTEE CO. LTD. - AVC RELIANCE TAX SAVER (ELSS) FUND	1438917	5.66	0	0.00	0	0.00	0	0.00	5.16
	<b>TOTAL :</b>	<b>9524817</b>	<b>37.44</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>34.16</b>



STATEMENT SHOWING DETAILS OF LOCKED-IN SHARES"			
(1)(d)			
Sr.No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a (%) percentage of total number of shares {i.e, Grand Total (A)+(B)+(C) Indicated in Statement at para (1)(a) above}
1	WAYZATA II INDIAN OCEAN LIMITED	3724500	14.64
2	EASTERN CREDIT CAPITAL LIMITED	330000	1.30
3	CORPORATION	2148400	8.45
4	EASTERN CREDIT CAPITAL LIMITED	950000	3.73
5	EASTERN CREDIT CAPITAL LIMITED	781000	3.07
6	EASTERN CREDIT CAPITAL LIMITED	770000	3.03
7	EASTERN CREDIT CAPITAL LIMITED	289000	1.14
8	EASTERN CREDIT CAPITAL LIMITED	18000	0.07
TOTAL :		9010900	35.42



STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRS)				
(II)(a)				
Sr.No.	Type of outstanding DR (ADRs,GDRs,SDRs,etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at Para(I)(a) above}
		0	0	0
TOTAL :				0



(11)(b)	Statement showing Holding of Depository Receipts (DRs), where underlying shares held by "Promoter/Promoter group" are in excess of 1% of the total number shares.			
Sr.No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para(1)(a) above}
			0	0
TOTAL :			0	0



RAMKRISHNA FORGINGS LIMITED				
SHARE HOLDING PATTERN AS ON 31/12/2013				
Sno	Description	Cases	Shares	% Equity
1	CLEARING MEMBERS	7	355686	1.40
2	FOREIGN BODIES	1	2148400	8.45
3	FOREIGN BODIES CORPORATES	1	5937500	23.34
4	FOREIGN INSTITUTIONAL INVESTOR	1	50000	0.20
5	H U F	124	112667	0.44
6	BODIES CORPORATES	126	1467311	5.77
7	MUTUAL FUNDS	1	1438917	5.66
8	NON RESIDENT INDIANS	15	3223	0.01
9	PROMOTERS BODIES CORPORATE	4	9613913	37.79
10	COMPANY PROMOTERS	5	1544250	6.07
11	RESIDENT INDIVIDUALS	2755	2767573	10.88
	<b>Total:</b>	<b>3040</b>	<b>25439440</b>	<b>100.00</b>



**RAMKRISHNA FORGINGS LIMITED**

**LIST OF INSTITUTION OTHERS AS ON 31/12/2013**

Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1	IN300054	10060779	INTERNATIONAL FINANCE CORPORATION	2148400	8.45	FB
			<b>Total:</b>	<b>2148400</b>	<b>8.45</b>	



**RAMKRISHNA FORGINGS LIMITED**

**LIST OF FOREIGN BODIES CORPORATES AS ON 31/12/2013**

Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1	IN301549	32708904	WAYZATA II INDIAN OCEAN LIMITED	5937500	23.34	FBC
		<b>Total:</b>		<b>5937500</b>	<b>23.34</b>	



RAMKRISHNA FORGINGS LIMITED						
LIST OF FOREIGN INSTITUTIONAL INVESTOR AS ON 31/12/2013						
Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1	IN300054	10009054	CITIGROUP GLOBAL MARKETS MAURITIUS PRIVATE LIMITED	50000	0.20	FII
		Total:		50000	0.20	



RAMKRISHNA FORGINGS LIMITED						
LIST OF MUTUAL FUNDS AS ON 31/12/2013						
Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1	IN300167	10015686	RELIANCE CAPITAL TRUSTEE CO. LTD. A/C RELIANCE T AX SAVER (ELSS) FUND	1438917	5.66	MUT
		<b>Total:</b>		<b>1438917</b>	<b>5.66</b>	



RAMKRISHNA FORGINGS LIMITED						
LIST OF PROMOTERS BODIES CORPORATE AS ON 31/12/2013						
Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1	IN302105	10083045	RIDDHI PORTFOLIO PRIVATE LTD	5801037	22.80	PBC
2	IN302978	10249486	EASTERN CREDIT CAPITAL LIMITED	3258000	12.81	PBC
3			EASTERN CREDIT CAPITAL LIMITED	330000	1.30	PBC
4	IN302978	10280392	RIDDHI PORTFOLIO PRIVATE LIMITED	224876	0.88	PBC
		Total:		9613913	37.79	

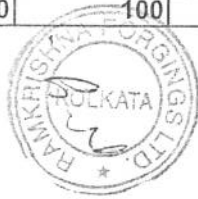


RAMKRISHNA FORGINGS LIMITED						
LIST OF COMPANY PROMOTERS AS ON 31/12/2013						
Sno	Dpid	Folio/Clientid	Name/Jt1/Jt2	Shares	% Equity	Category
1	IN301250	28036947	MAHABIR PRASAD JALAN	451000	1.77	PRO
2	IN301250	28390681	RASHMI JALAN	418750	1.65	PRO
3	IN301250	28036906	NARESH JALAN	285750	1.12	PRO
4	IN300327	10288582	NARESH JALAN	268750	1.06	PRO
5	IN300327	10288548	MAHABIR PRASAD JALAN	120000	0.47	PRO
		<b>Total:</b>		<b>1544250</b>	<b>6.07</b>	



**RAMKRISHNA FORGINGS LIMITED**  
**DISTRIBUTION SCHEDULE AS ON 31/12/2013**

Sno	Category	Cases	% of Cases	Amount	% Amount
1	upto 1 - 5000	2625	86.35	2885370.00	1.13
2	5001 - 10000	189	6.22	1516200.00	0.60
3	10001 - 20000	79	2.60	1211650.00	0.48
4	20001 - 30000	44	1.45	1149530.00	0.45
5	30001 - 40000	15	0.49	543060.00	0.21
6	40001 - 50000	9	0.30	436320.00	0.17
7	50001 - 100000	27	0.89	2036590.00	0.80
8	100001 & ABOVE	52	1.71	244615680.00	96.16
	<b>Total:</b>	<b>3040</b>	<b>100</b>	<b>254394400</b>	<b>100</b>



**RAMKRISHNA FORGINGS LIMITED**  
**CONTROL REPORT AS ON 31/12/2013**

Sno	Description	No of Holders	Shares	% To Equity
1	PHYSICAL	17	335137	1.32
2	NSDL	2137	24591697	96.67
3	CDSL	886	512606	2.02
	<b>Total:</b>	<b>3040</b>	<b>25439440</b>	<b>100</b>

