



# RAMKRISHNA FORGINGS LIMITED

REGD. & CORPORATE OFFICE:

"RAMKRISHNA CHAMBERS"  
72 SHAKESPEARE SARANI,  
KOLKATA 700017  
WESTBENGAL, INDIA

PHONE : (+91 33) 3984 0900 / 0999  
FAX : (+91 33) 3984 0998

EMAIL : info@ramkrishnaforgings.com  
WEBSITE: www.ramkrishnaforgings.com

CIN NO. : L74210WB1981PLC034281

Date: 18<sup>th</sup> January, 2017

To  
**The Listing Department**  
**National Stock Exchange of India Limited,**  
**"Exchange Plaza" C-1, Block G**  
**Bandra - Kurla Complex, Bandra (E)**  
**Mumbai - 400 051**

Dear Sir,

**Ref: Scrip Code: RKFORGE**

**Sub: - Shareholding Pattern for the Quarter ended 31<sup>st</sup> December, 2016**

Please find enclosed herewith the Shareholding Pattern as per Regulation 31(1)(b) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended **31st December, 2016**.

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully,

**For Ramkrishna Forgings Limited**

*Neha Saraf*  
**Neha Saraf**  
**Asst. Company Secretary**

Encl: As above.

## WORKS

**PLANT- I:** PLOT NO. M-6, PHASE VI, GAMARIA, JAMSHEDPUR -832108,  
JHARKHAND (INDIA); **FAX:** (+91 657) 2202814  
**PH:** (+91 657) 3984900, 3204242, 3204249  
**EMAIL:** forgings-division@ramkrishnaforgings.com

ISO 9001:2008  
ISO 9001:2008  
OHSAS 18001:2007  
BUREAU VERITAS  
Certification



**PLANT- III & IV:** PLOT NO. M-15, 16 & NS-26, PHASE VII, INDUSTRIAL AREA,  
ADITYAPUR; JAMSHEDPUR -832109, JHARKHAND (INDIA) **FAX:** (+91 657)3984998  
**PH:** (+91 657) 3984900/999, **EMAIL:** cnc-division@ramkrishnaforgings.com

ISO 9001:2008  
BUREAU VERITAS  
Certification



**PLANT- II:** 7/40, DUFFER STREET, LILUAH, HOWRAH - 711204  
WEST BENGAL (INDIA)  
**PH:** (+91 33) 2654 8062/063

**PLANT- V:** VILL: BALIGUMA, P.O.: KOLABERA, THANA: SARAİKELA, DIST.: SARAİKELA KHARSWAN;  
JAMSHEDPUR -833220, JHARKHAND (INDIA) **PH:** (+91657) 3984900  
**EMAIL:** pressplant5@ramkrishnaforgings.com

Format of Holding of Specified securities

1. Name of Listed Entity:RAMKRISHNA FORGINGS LIMITED
2. Scrip Code/Name of Scrip/Class of Security:532527,RKFORGE,EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
  - a. if under 31(1)(b) then indicate the report for quarter ending 31/12/2016
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a Whether the Listed Entity has issued any partly paid up shares		NO
b Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d Whether the Listed Entity has any shares in locked-in?	YES	
e Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

\*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:



Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C 2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a % of diluted share cap)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	9	14453169	0	0	14453169	50.41	14453169	0	14453169	50.41	0	50.41	8977708	62.12	1550000	10.72	14453169
(B)	Public	8777	14216771	0	0	14216771	49.59	14216771	0	14216771	49.59	0	49.59	0	0.00	NA	NA	14216635



(C)	Non Promoter-Non Public																		
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0	
(C2)	Shares held by Employes Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0	
	<b>Total:</b>	<b>8786</b>	<b>28669940</b>	<b>0</b>	<b>0</b>	<b>28669940</b>	<b>100.00</b>	<b>28669940</b>	<b>0</b>	<b>28669940</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>8977708</b>	<b>31.31</b>	<b>1550000</b>	<b>10.72</b>	<b>28669804</b>	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts held	Total No of Shares Held (IV+V+VI)	Share holding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding Securities (including	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights	Total as a % of (A+B+C)				No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		6	1546350	0	0	1546350	5.39	1546350	0	1546350	5.39	0	5.39	0	0.00	0	0.00	1546350
	NARESH JALAN	AABHN4403P	1	268750	0	0	268750	0.94	268750	0	268750	0.94	0	0.94	0	0.00	0	0.00	268750
	MAHABIR PRASAD JALAN	AACHM0965N	1	120000	0	0	120000	0.42	120000	0	120000	0.42	0	0.42	0	0.00	0	0.00	120000
	MAHABIR PRASAD JALAN	ACFPJ2428J	1	451000	0	0	451000	1.57	451000	0	451000	1.57	0	1.57	0	0.00	0	0.00	451000
	NARESH JALAN	ACUPJ1252F	1	285750	0	0	285750	1.00	285750	0	285750	1.00	0	1.00	0	0.00	0	0.00	285750



	RASHMI JALAN	ACVPJ0776B	1	418750	0	0	418750	1.46	418750	0	418750	1.46	0	1.46	0	0.00	0	0.00	418750
	CHAITANYA JALAN	AKDPJ7169C	1	2100	0	0	2100	0.01	2100	0	2100	0.01	0	0.01	0	0.00	0	0.00	2100
(b)	Central Government/State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other		3	12906819	0	0	12906819	45.02	12906819	0	12906819	45.02	0	45.02	8977708	69.56	1550000	12.01	12906819
	EASTERN CREDIT CAPITAL PRIVATE LIMITED	AAACE4526A	1	5618500	0	0	5618500	19.60	5618500	0	5618500	19.60	0	19.60	1700500	30.27	1550000	27.59	5618500
	RIDDHI PORTFOLIO PRIVATE LTD	AACB0768L	2	7288319	0	0	7288319	25.42	7288319	0	7288319	25.42	0	25.42	7277208	99.85	0	0.00	7288319
	<b>Sub-Total (A)(1)</b>		<b>9</b>	<b>14453169</b>	<b>0</b>	<b>0</b>	<b>14453169</b>	<b>50.41</b>	<b>14453169</b>	<b>0</b>	<b>14453169</b>	<b>50.41</b>	<b>0</b>	<b>50.41</b>	<b>8977708</b>	<b>62.12</b>	<b>1550000</b>	<b>10.72</b>	<b>14453169</b>
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>		<b>9</b>	<b>14453169</b>	<b>0</b>	<b>0</b>	<b>14453169</b>	<b>50.41</b>	<b>14453169</b>	<b>0</b>	<b>14453169</b>	<b>50.41</b>	<b>0</b>	<b>50.41</b>	<b>8977708</b>	<b>62.12</b>	<b>1550000</b>	<b>10.72</b>	<b>14453169</b>



Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares assuming full conversion of convertible Securities (as a percentage)	Shareholding as a %	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form			
									No of Voting Rights	Total as a % of (A+B+C)									
									Class X	Class Y	Total			No	As a % of total Shares held	No	As a % of total Shares		
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)	(XIII)	(XIV)			
(1)	Institutions																		
(a)	Mutual Funds		11	3035765	0	0	3035765	10.59	3035765	0	3035765	10.59	0	10.59	0	0.00	0	NA	3035765
	KOTAK MAHINDRA BALANCE UNIT SCHEME 99	AAATK4475F	2	639499	0	0	639499	2.23	639499	0	639499	2.23	0	2.23	0	0.00	0	0	639499
	RELIANCE CAPITAL TRUSTEE CO. LTD. - A/C RELIANCE TAX SAVER (ELSS) FUND	AAATR0090B	1	1080000	0	0	1080000	3.77	1080000	0	1080000	3.77	0	3.77	0	0.00	0	0	1080000
	UTI-MID CAP FUND	AAATU1088L	2	320794	0	0	320794	1.12	320794	0	320794	1.12	0	1.12	0	0.00	0	0	320794
	BNP PARIBAS DIVIDEND YIELD FUND	AABTA2280R	2	528430	0	0	528430	1.84	528430	0	528430	1.84	0	1.84	0	0.00	0	0	528430
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0



(c) Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
(e) Foreign Portfolio Investors		14	2570409	0	0	2570409	8.97	2570409	0	2570409	8.97	0	8.97	0	0.00	0	0	2570409
ICG Q LIMITED	AABC14677H	1	700000	0	0	700000	2.44	700000	0	700000	2.44	0	2.44	0	0.00	0	0	700000
INDIA MIDCAP (MAURITIUS) LTD.	AACCI2898H	1	958733	0	0	958733	3.34	958733	0	958733	3.34	0	3.34	0	0.00	0	0	958733
(f) Financial Institutions/Banks		2	15027	0	0	15027	0.05	15027	0	15027	0.05	0	0.05	0	0.00	0	0	15027
(g) Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
(h) Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
(i) Any Other																		
INSTITUTION OTHERS		1	1044215	0	0	1044215	3.64	1044215	0	1044215	3.64	0	3.64	0	0.00	0	0	1044215
INTERNATIONAL FINANCE CORPORATION	EXEMPTCATG	1	1044215	0	0	1044215	3.64	1044215	0	1044215	3.64	0	3.64	0	0.00	0	0	1044215
<b>Sub Total (B)(1)</b>		<b>28</b>	<b>6665416</b>	<b>0</b>	<b>0</b>	<b>6665416</b>	<b>23.25</b>	<b>6665416</b>	<b>0</b>	<b>6665416</b>	<b>23.25</b>	<b>0</b>	<b>23.25</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>6665416</b>
(2) Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
<b>Sub Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>
(3) Non-Institutions																		
(a) i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		8139	2553826	0	0	2553826	8.91	2553826	0	2553826	8.91	0	8.91	0	0.00	0	0	2553690
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		21	2463623	0	0	2463623	8.59	2463623	0	2463623	8.59	0	8.59	0	0.00	0	0	2463623
LATA BHANSHALI	AADPB8672N	1	1058975	0	0	1058975	3.69	1058975	0	1058975	3.69	0	3.69	0	0.00	0	0	1058975
																		0
(b) NBFCs Registered with RBI		3	16290	0	0	16290	0.06	16290	0	16290	0.06	0	0.06	0	0.00	0	0	16290
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
(d) Overseas Depositories (Holding DRs)(Balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0
(e) Any Other																		
TRUSTS		3	440	0	0	440	0.00	440	0	440	0.00	0	0.00	0	0.00	0	0	440
ALTERNATIVE INVESTMENT FUND		1	217380	0	0	217380	0.76	217380	0	217380	0.76	0	0.76	0	0.00	0	0	217380
NON RESIDENT INDIANS		132	138396	0	0	138396	0.48	138396	0	138396	0.48	0	0.48	0	0.00	0	0	138396
CLEARING MEMBERS		29	32838	0	0	32838	0.11	32838	0	32838	0.11	0	0.11	0	0.00	0	0	32838
NON RESIDENT INDIAN NON REPATRIABLE		37	16566	0	0	16566	0.06	16566	0	16566	0.06	0	0.06	0	0.00	0	0	16566
BODIES CORPORATES		384	2111996	0	0	2111996	7.37	2111996	0	2111996	7.37	0	7.37	0	0.00	0	0	2111996
CHARTERED FINANCE AND LEASING LIMITED	AABCC0777C	2	511713	0	0	511713	1.78	511713	0	511713	1.78	0	1.78	0	0.00	0	0	511713
<b>Sub Total (B)(3)</b>		<b>8749</b>	<b>7551355</b>	<b>0</b>	<b>0</b>	<b>7551355</b>	<b>26.34</b>	<b>7551355</b>	<b>0</b>	<b>7551355</b>	<b>26.34</b>	<b>0</b>	<b>26.34</b>	<b>0</b>	<b>0.00</b>			<b>7551219</b>
<b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>		<b>8777</b>	<b>14216771</b>	<b>0</b>	<b>0</b>	<b>14216771</b>	<b>49.59</b>	<b>14216771</b>	<b>0</b>	<b>14216771</b>	<b>49.59</b>	<b>0</b>	<b>49.59</b>	<b>0</b>	<b>0.00</b>			<b>14216635</b>





Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity share	No of Partly paid-up equity share	No of Shares Underlying Depos	Total No of Shares Held (IV+V+VI)	Share holding as a % of total no of	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding	Share holding as a % assuming	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in	
									No of Voting Rights		Total as a %			No.	As a % of	No.	As a % of		
									Class	Class	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)		
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	<b>Total Non-Promote</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>			<b>0</b>



Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
		0	0
Total:		0	0



Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account

No of Shareholders	No of shares
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